	Page 1
1	IN THE UNITED STATES DISTRICT COURT
2	FOR THE DISTRICT OF COLUMBIA
3	X
4	SENATOR MITCH McCONNELL, et al.,:
5	Plaintiffs, : Case No.
6	v. : 02-0582
7	FEDERAL ELECTION COMMISSION, et : (CKK, KLH, RJL)
8	al., :
9	Defendants. : ·
10	X
11	REPUBLICAN NATIONAL COMMITTEE, :
12	et al., :
13	Plaintiffs, : Civil No.
14	v. : 02-874
15	FEDERAL ELECTION COMMISSION, et : (CKK, KLH, RJL)
16	al., :
17	Defendants. :
18	X
19	Washington, D.C.
20	Thursday, October 10, 2002
21	Deposition of SENATOR JOHN McCAIN, a
22	witness herein, called for examination by counsel for
23	Plaintiffs in the above-entitled matter, pursuant to
24	notice, the witness being duly sworn by SUSAN L.
25	CIMINELLI, a Notary Public in and for the District of

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Page 2
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 1 Columbia, taken at the offices of Senate Russell
                                                            APPEARANCES (Continued):
2 Office Building, Room 241, Washington, D.C., at
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   3:20 p.m., Thursday, October 11, 2002, and the
                                                               On behalf of Senator McCain:
    proceedings being taken down by Stenotype by SUSAN L.
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                                                                   ROGER M. WITTEN, ESQ.
                                                       5
    CIMINELLI, CRR. RPR, and transcribed under her
                                                                   RANDOLPH D. MOSS, ESQ.
    direction.
                                                       6
                                                                   ANJA MANUEL, ESQ.
                                                        7
                                                                   Wilmer, Cutler & Pickering
                                                                  2445 M Street, N.W.
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12
                                                      12
                                                                  ROBERT W. CHAMBERLIN, ESQ.
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                                                      13
                                                                  Republican Chief Counsel
                                                      14
                                                                  JEANNE BUMPUS, ESQ.
15
                                                      15
                                                                  Republican Chief of Staff
16
                                                      16
                                                                  United States Senate
17
                                                      17
                                                                  Committee on Commerce, Science &
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                                                                  Transportation
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                                                                  560 Dirksen Senate Office Building
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                                                                  Washington, D.C. 20510
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                                              Page 3
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    APPEARANCES:
                                                          APPEARANCES (Continued):
3
       On behalf of the McConnell Plaintiffs:
                                                       3
                                                             On behalf of the United States:
4
          FLOYD ABRAMS, ESQ.
                                                       4
                                                                MARC L. KESSELMAN, ESQ.
5
          BRIAN T. MARKLEY, ESQ.
                                                       5
                                                                U.S. Department of Justice
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          Cahill Gordon & Reindel
                                                       6
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                                                                Washington, D.C. 20044
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                                                                (202) 307-3937
11
       On behalf of the Plaintiffs RNC, et al.
                                                      11
12
          BOBBY BURCHFIELD, ESO.
                                                      12
                                                             ALSO PRESENT:
13
          GARY M. RUBMAN, ESQ.
                                                      13
                                                                TREVOR POTTER, Campaign & Media Legal
14
          Covington & Burling
                                                      14
                                                                Center, Inc.
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          1201 Pennsylvania Avenue, N.W.
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                                                                ED WARREN, ESQ.
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          Washington, D.C. 20004-2401
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17
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18
                                                      18
19
       On behalf of the Plaintiffs Chamber of Commerce
                                                      19
20
       and Senator Mitch McConnell:
                                                      20
21
          JAN WITOLD BARAN, ESQ.
                                                      21
22
          Wiley, Rein & Fielding, LLP
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          1776 K Street, N.W.
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          Washington, D.C. 20006
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          (202) 719-7330
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1	CONTENTS	1	paragraph two, and in particular the last line of
2	WITNESS CROSS EXAMINATION BY COUNSEL FOR	2	paragraph two, which states that "in addition to
3	SENATOR JOHN McCAIN McCONNELL PLAINTIFFS	3	making such contributions to political parties,
4	By Mr. Abrams 7	4	corporations and unions are spending their money on
5	RNC PLAINTIFFS	5	sham issue ads that pretend to address issues, but in
6	By Mr. Burchfield 35	6	fact have the purpose and effect of influencing
7		7	Federal elections."
8		8	When you wrote ads that pretend to address
9	EXHIBITS	9	issues, Senator McCain, do you include ads that in
10	McCAIN EXHIBIT NO. PAGE NO	10	fact address issues, but also have the purpose and
11	A New York Times article, 10/10/02	11	effect of influencing Federal elections?
12	B List of roll call votes on cloture 8	12	<ol> <li>I think that any ad that shows the face or</li> </ol>
13	C Report of Receipts and Disbursements 36	13	likeness of a candidate is then part of a Federal
14	D Itemized Disbursements 38	14	election, yes.
15	E Report of Receipts and Disbursements 39	15	Q. Do you think that some ads in the last 60
16	F Report of Receipts and Disbursements 41	16	days of a Federal election for the United States
17	G Itemized Disbursements 42	17	Senate that do show a name or likeness also address
18	H Report of Receipts and Disbursements 45	18	issues?
19	1 Itemized Disbursements 47	19	A. They may or they may not, depending on the
20	J Letter, 6/11/99 48	20	ad itself.
21	K Congressional Record citation 57	21	Q. Let me show you an article from today's
22	L Web page printout 69	22	New York Times, which I'll mark as McCain Exhibit A
23	M Washington Times reprint 73	23	and ask you first if you have seen this document?
24		24	A. I have not seen this.
25		25	(McCain Exhibit A was
	Page 7		D 0
	Page 7		Page 9
1	PROCEEDINGS	1	marked for identification.)
2	PROCEEDINGS Whereupon.	2	marked for identification.) BY MR. ABRAMS:
2 3	PROCEEDINGS Whereupon, SENATOR JOHN McCAIN,	2 3	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in
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2 3 4 5 6 7 8 9 10 11 12 13 14 15	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of	2 3 4 5 6 7 8 9 10 11 12 13 14 15	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of a few weeks ago. I wanted to direct your attention	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on. The first one, according to the article, was paid for
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of a few weeks ago. I wanted to direct your attention to the declaration that you signed dated October 4,	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on. The first one, according to the article, was paid for by the state's Democratic Party and according to the
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of a few weeks ago. I wanted to direct your attention	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on. The first one, according to the article, was paid for by the state's Democratic Party and according to the article, it begins with a picture of men on the stock
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of a few weeks ago. I wanted to direct your attention to the declaration that you signed dated October 4, and ask you some questions about it. A. Yes. Q. Could you direct your attention, please,	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on. The first one, according to the article, was paid for by the state's Democratic Party and according to the article, it begins with a picture of men on the stock exchange floor and a voice then says "an unstable economy, falling financial markets, all that and Jim
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	PROCEEDINGS Whereupon. SENATOR JOHN McCAIN, business address at 241 Russell Senate Office Building, Washington, D.C., was called as a witness by counsel for Plaintiffs, and having been duly sworn by the Notary Public, was examined and testified as follows:  CROSS EXAMINATION BY COUNSEL FOR PLAINTIFF McCONNELL BY MR. ABRAMS: Q. Good afternoon, Senator McCain. We have met before. I'm Floyd Abrams. I represent Senator McConnell. This cross-examination this afternoon will be quite a bit shorter than our last exchange of a few weeks ago. I wanted to direct your attention to the declaration that you signed dated October 4, and ask you some questions about it. A. Yes. Q. Could you direct your attention, please, first to, let me ask you first, this document is one that was prepared by you or on your behalf and was signed by you. correct?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	marked for identification.) BY MR. ABRAMS: Q. I'm going to direct your attention in particular to the last two columns, but I do want you to have a chance to have an idea what the whole article is about, so just take your time, but let me know when you finish the last two columns. A. Okay. Thank you. Q. Sure. This article is in good part about the ongoing Missouri campaign for the Senate, is it not? A. Yes. Q. And the article quotes in the last two columns of Exhibit A from two particular advertisements that I'd like to get your views on. The first one, according to the article, was paid for by the state's Democratic Party and according to the article, it begins with a picture of men on the stock exchange floor and a voice then says "an unstable economy, falling financial markets, all that and Jim Talent would invest Social Security money in the stock market? It's true."

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## Page 10

Mr. Talent, looking squarely into the camera said "there they go again, another lie. the liberal groups of Washington can say what they want. I am not going to vote to privatize Social Security. Tell Jean Carnahan to start scaring seniors."

I want you to assume that the article is accurate in saying that these two ads have appeared on television in Missouri. Let me ask you first, have you seen any of them yourself, by any chance?

- A. No. I have not seen them.
- 11 Q. Assuming that they have appeared.
- 12 Sure. I assume that.

10

- 13 Q. I want to ask you whether in your view, 14 the issue of the privatization of Social Security or put differently, permitting workers to invest part of their allocated Social Security funds in the stock 17 market is one genuine issue in the 2002 senatorial 18 campaign?
- 19 A. Well, I would imagine that people would 20 view Social Security as an issue in any political 21 campaign, and I believe that anybody who wants to run
- 22 an ad identifying a candidate as both of those
- 23 candidates are identified in these ads should be able
- 24 to run those ads whenever they want to, only they
- 25 should pay for those ads the same way that a

significance?

A. They address a public issue and they are intended to affect the outcome of a campaign, otherwise they would not mention the candidate's names.

Q. And is it fair to say that they do both of those things at once, that is to say, that they address a public issue and they do so in your view for a political purpose?

A. No one in their right mind would believe 10 that these ads would be running unless they were primarily intended to address the outcome of a campaign. I don't think any of these organizations would fund a, an advertisement or a commercial that says save Social Security. Period. None of them 15 would.

16 Q. And that in your view explains the reason 17 why they put the ad on?

18 A. The reason they put the ad on was to 19 influence the outcome of a campaign. I think it's 20 obvious

21 Q. And now I'm asking you a related question. 22 Does the text of the ads as you read them reflect 23 commentary on the public issue of Social Security privatization? 24

MR. WITTEN: I think we are close to asked

## Page 11

candidate does, and that's with hard money.

O. The result of that, would it not. Senator 3 McCain, if they could not run the ad whenever they wanted to?

A. As long as they got the money. I can't 6 run ads whenever I want to because I'm restrained on the amount of money I can raise also.

8 Q. So neither you nor they can run the ad as 9 they want to? 10

A. Certainly not. Life isn't fair.

Q. My question to you now is this. Do you 12 view these two ads, taking them together now, as ads which deal with an ongoing issue of public significance?

14 A. I view them as ads that are intended to 15 directly affect the outcome of an election, and 16 therefore in my view should be paid for by the same 17 way that the candidates pay for their ads. Not 18 restricted in running them, but by funding them the 19 same way. 20

Q. That really wasn't my question, though, 21 Senator.

22 A. But that's the only answer I can give you 23 because they are part of a campaign.

Q. Can you give me an answer as to whether 25 these ads to address a public issue of genuine

and answered here, and we only have a short period of 2

MR. ABRAMS: I'll use the time as I choose, really.

THE WITNESS: I guess my answer has to be the same that certainly Social Security is a, is an issue that needs to be addressed all over America. including the halls of Congress. When used in this context, it is clearly intended to affect the outcome of an election in my view.

## BY MR. ABRAMS:

12 Q. Could you direct your attention now to 13 paragraph four of your declaration. And I refer you in particular to the sentence on top of page 3 which 15 says "I have heard opponents of reform argue that this flood of soft money does not corrupt or even appear to corrupt politicians or the political 17 18 process."

19 I wanted to ask you now in part as I did 20 last time about your use of the word "corrupt." In 21 this sentence. On Meet the Press on September 20. 22 you referred to the Federal Election Commission as an 23 unelected, corrupt Commission, correct?

A. Yes.

Q. And is it correct as well that just a few

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- days ago, you referred specifically to four members of the Federal Election Commission as corrupt?
  - A. Yes.

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- Q. I'm sorry. And did you say then that
  "anyone who knowingly and willfully violates their
  Constitutional duty is corrupt."
  - A. That's one of the definitions. That's one of many.
- 9 Q. And is that the definition that you had in 10 mind when you said what you did about the Federal 11 Election Commission?
- 12 A. That applied to them, violating the 13 Constitutional responsibilities, yes.
- Q. So you are saying that the Federal
  Election Commission knew that it was violating their
  Constitutional duty?
- 17 A. Yes. By not, in writing regulations that 18 enforce the law which is their Constitutional 19 responsibility as Federal Election Commissioners.
- Q. And you are saying as well, SenatorMcCain, that the four particular members of the
- 22 Commission to whom you were referring --
- 23 A. Yes.

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- Q. Knowingly violated their Constitutionalduty, correct?

Page 16

- l paragraph that the legislation as adopted was
- designed to appease the competing donors, rather than
- 3 to serve the public interest, correct?
  - A. Yes. That's my view.
  - Q. And can you identify for us today any
- members of the Senate Commerce Committee who in your
- 7 view proposed or supported provisions of the
- 8 telecommunications bill because of soft money
- donations made to them?
- 10 A. I was not a member of the conference so I
   11 don't know who they are. I can provide for the
- 2 record published media reports of lobbyists writing
- 13 specific pieces of legislation which at the end of
- 14 the legislation being put together in some cases
- 15 contradicted each other, and I will provide for the
- 6 record media reports of that which were never
- 17 challenged by anyone, and I will also provide again
- 18 for the record Senator McConnell's statements
- 19 concerning don't worry about voting against the
- 20 tobacco bill because the tobacco companies will
- 21 contribute money to you which is also in the media.
- 22 That to me is the most egregious incident
- 23 that I have seen about the appearance of corruption
- 24 since I have been a member of the United States
- 25 Senate, telling senators that they can vote in a way

## Page 15

- A. Absolutely in my view. It's my opinion,
   and I'm entitled to it.
  - MR. WITTEN: Pretty far beyond the scope, here

# BY MR. ABRAMS:

- Q. And would it also be your view that any
   judge who sustains a finding of those four members of
   the Commission would also for the same reasons be
   corrupt?
- 10 A. I'd have to --
  - MR. WITTEN: Beyond the scope.
- 12 THE WITNESS: I'd have to find out what
- 13 the judge said before I could make that kind of
- 14 judgment. I know what the Federal Election
- 15 Commissioners are doing now. I don't know what a16 Federal Judge would do.
- 17 BY MR. ABRAMS:
- 18 Q. Refer now to paragraph nine of your
- declaration. You refer there to legislation in the telecommunications industry which you state
- 21 purportedly deregulated that industry in order to
- 22 encourage competition and lower costs to consumers,
- 23 correct?
- 24 A. Correct.
- 25 Q. And you say a little lower down in that

- that would help the tobacco companies and the tobacco
- companies in return would pay for their campaigns.
- 3 which is what was said in a luncheon at a Republican
- 4 conference when I was present.
  - That is the appearance of corruption in my
  - view. I personally witnesses it, and if I had
- witnessed it again, I would have -- what I should
- B have done is stand up and say this is an outrage for
- you to say this kind of thing, but I was so
- 10 astonished that any member of the Senate would say
- 11 such a thing, I was temporarily at a loss for words.
- 12 Q. Do you believe that you just answered my13 question, Senator McCain?
  - MR. WITTEN: Don't answer that question.
- MR. ABRAMS: I move to strike the entirety of Senator McCain's answer. I move to strike the entire commentary, beginning with the words I will also provide for the record.

## BY MR. ABRAMS:

- 21 Q. Continuing for the moment on this
- 22 paragraph nine. Putting aside members of the Senate
- 23 Commerce Committee, do you have any personal
- 24 knowledge that anyone on the conference committee
- 25 that dealt with the telecommunications issue proposed

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Washington, D.C.

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## Page 18

or supported provisions of the telecommunications bill because of soft money contributions?

A. I was not in the conference, as I say. I 4 saw the results. I saw the reported media, and I have -- reports, and I have seen the results of the

Telecommunications Act which is a critical factor here. The Telecommunications Act was going to lower

8 costs to consumers. In reality, all costs to

consumers have gone up. In fact, the profits to the 10 cable companies have gone up dramatically 48 percent

have been the cost of cable rates because of the 11

12 influence of the cable companies, as opposed to the 13 influence of the consumer.

14 Again, I would refer you to Consumer

15 Federation of America and other consumer 16 organizations that chronicled this really sorry

17 chapter in the history of the United States Congress, 18 and I will also be glad to provide a Business Week

19 article in the last few weeks chronicling the

20 absolute failure of the Telecommunications Act of 21 1996 and the influence of special interests in the

22 formulation of that legislation.

23 Q. I move to strike the entirety of Senator

24 McCain's answer as unresponsive and irrelevant. My question, Senator McCain, is this. Can you, as you

Page 20

reform, because I'm worried about the appearance of corruption, as well as corruption. In my view, I do not need to tell you the name of an individual because of course, I wasn't there and so there is no way. The answer to your question is obviously I 6 wasn't there and when you are not there, you can't 7 name anybody.

## BY MR. ABRAMS:

Q. You don't know the answer?

A. I do know the result, which is the appearance of corruption.

Q. And do you also know and can you tell us 12 13 now under oath I do not know the answer to your 14 question, Mr. Abrams?

A. I know the answer to your question because I say that this appearance of corruption because of 16 the action of --

Q. I didn't ask you that?

A. -- of a committee.

MR. WITTEN: Let's not spend our time arguing

22 MR. ABRAMS: I am not stopping. I'm 23 entitled to a response from Senator McCain.

24 THE WITNESS: And I'm entitled to respond the best way I know how, which I'm doing.

- 1 sit here today, out of your personal knowledge, name
- 2 for us any member of the conference committee that
- 3 you referred to a few minutes ago with respect to
- telecommunications legislation who proposed or
- 5 supported provisions of that bill because of soft
- 6 money contributions?
- A. I can only respond to say that I can
- 8 provide for the record clear evidence of the
- 9 appearance of corruption, and that's why I said in my
- 10 deposition corruption, or the appearance of
- 11 corruption.
- 12 Q. I move to strike the entirety of the
- 13 answer as unresponsive. My question, Senator McCain,
- 14 I think I'm entitled to an answer to it --
  - A. Sure.

15

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- 16 Q. -- is can you identify for us or not the
- 17 name of any member of the conference committee who
- because of soft money contributions proposed or
- 19 supported certain provisions of the
- 20 telecommunications bill?
  - MR. WITTEN: Objection. Asked and
- 22 answered responsively twice.
- 23 THE WITNESS: But I'll be glad to answer
- it again. I cannot give you a complete answer
- because of my advocacy of, for campaign finance

# Page 21

- BY MR. ABRAMS:
- 2 Q. My question is can you or can you not 3
- answer the question of whether there is an individual
- or individuals known to you on the conference
- committee who because of soft money contributions
- took certain positions with respect to the
- telecommunications bill?
  - MR. WITTEN: Objection. Asked and
- 9 answered and please don't argue with the witness. 10
  - THE WITNESS: My answer is the same.
    - BY MR. ABRAMS:
  - Q. I want to proceed now to paragraph 10.
- which relates to stock options, and that paragraph 13 you dealt with the Sarbanes-Oxley corporate
- governance bill, correct? 15
  - A. Yes.
- 17 Q. And you said they are, about seven or
- eight lines down "according to newspaper accounts, 18
- Silicon Valley venture capitalist John Door, who has 19
- 20 given \$619,000 to the Democratic Party since 1999,
- 21 spoke by phone to the Senate Democratic leadership
- and urged them to oppose my stock options proposal." 22
  - My first question to you about that,
- 24 Senator McCain, is this. Apart from newspaper
- accounts, do you have any personal knowledge that

- this telephone call occurred?
- A. No. I have no personal knowledge. I certainly trust what was reported in USA Today and

every other media outlet.

- O. Have you found media outlets always accurate in reporting about you, Senator McCain?
- A. I wouldn't deny that that phone call took place. I won't.
- Q. The next line --

10

- A. There were follow-up stories.
- 11 Q. The next line in the paragraph said "the
- 12 Senate Democratic leadership then used a
- parliamentary procedural device to block a vote on 13 it." 14

15 And my question to you is this. Do you 16 have any personal knowledge, apart from what you read in the newspapers, that the reason that the Senate Democratic leadership blocked the vote was soft money

- contributions?
- 20 A. That's not what I said. I stated that the Democratic leadership then used a parliamentary
- procedure device to block a vote on it. Period.
- 23 Q. I understand. And my question is --
- 24 A. I have nothing to add to that.
- 25 Q. That may be the answer, but let me ask the

ı A. No.

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- 2 Q. Could you refer now to paragraph --
  - A. And I guess I ought to respond to that.
- Not by me because my job in campaign finance reform

Page 24

Page 25

- is not to identify specific individuals, which is the
- continuous theme of the questioning here. My job is
- to note that all of us are tainted by this process, including me which I have said on thousands of
- occasions, and I'm concerned about the appearance of
- corruption as much as I am corruption because in the
- 11 mind of the voter, the two are indistinguishable. 12
  - Q. Now, in your mind?
- 13 A. In my mind and the mind of the voter that 14 I talked to, that I have contact with every day.
- 15 Q. I want to ask you a related question, 16 focusing for the moment not on the voter but on you. 17 on what you think about this particular issue. Are
- 18 there some circumstances known to you in which the 19 voters were wrong?
- 20 A. I think the voters have been wrong at
- 21 times, which is their right to be, but it's my
- responsibility as a public servant not to give them 22
- the appearance of corruption, and this system does
- give the appearance of corruption, and that can show
- you 1200 polls that show that the American people

- question first. What knowledge, if any, do you have as to whether the reason that the Senate Democratic
- leadership used a parliamentary procedural device to
- 4 block a vote was soft money contributions?
- 5 A. Because it was or appeared to be, I emphasize it was or appeared to be adversely and
- unfairly influenced by soft money contributions. I
- 8 have no knowledge that it was, that there certainly was the appearance. We go back time and time again,
- 10 Mr. Abrams, in all due respect, to the issue of
- 11 appearance because public officials not only have to
- 12 adhere to the letter of the law, but the spirit of
- 13 the law.

14 That's why we have an Ethics Committee, to 15 judge people's ethics. Not violation of law, but

- 16 their conduct in office and because of this the way
- that this happened, there was the appearance that the 18 legislative process was adversely and unfairly
- influenced by large soft money contributions. The
- 20 appearance of it.
  - Q. Were there any referrals to the Ethics
- 22 Committee with respect to anything to do with this
- 23 legislation?

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- 24 A. Not that I know of.
  - Q. Not by you?

believe that we are unduly influenced by the influence of big money.

- 2 Q. Paragraph 11, Senator McCain, deals with 3
- legislation recently passed by the Senate which you 4 describe as legislation to get generic drugs to
- market faster. And you say that it is stalled in the
- House reportedly because of pressure exercised by the
- 8 White House and the Republican House leadership.

And my question will be similar to those I 10

- have asked you earlier, which focuses on your own knowledge. I understand that the word reportedly
- 12 sometimes means it's been reported, it's been said or
- 13
- it's been written about. I simply want to understand
- whether you have any personal knowledge of the
- pressure by the White House referred to in this 15 16 sentence?
- 17 A. No. It is well-known that the White House
- 18 opposes this legislation. 19 Q. And what is the basis of your testimony
- 20 other than materials that you have read in the public 21 press?
- 22 A. All I say in my statement is a bill
- recently passed by the Senate to get drugs stalled in 23
- the House stalled because of pressure exercised by
  - the House and the leadership. I can provide

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### Page 26

- documents indicating the White House's opposition to 2
- 3 Q. Do you have any personal knowledge that the White House position on the bill came about because of soft money contributions?
  - A. No, nor do I allege that.
- 7 Q. I understand that you don't allege it.
- 8 I'm asking you now. And you have answered it?
- A. But I'm sure that the White House 10 oppositions and Republican House leadership in the
- face of a recent poll that showed that 72 percent of
- the American people want legislation that would ease
- generic drugs into the marketplace, that I am sure
- that there is the appearance of corruption here
- because of the big money that was contributed at a record-breaking fundraiser.
- 17 Q. With respect to the Republican House
- leadership now, I want to ask you the same question.
- 19 Apart from any knowledge that you picked up in the
- press and the like, do you have any personal
- 21 knowledge of pressure exerted by the Republican House
- 22 leadership to defeat the generic drug proposal?
- 23 A. Of course, it's public knowledge that the
- 24 White House leadership has --
- 25 Q. The House leadership?

- political campaigns. It was Theodore Roosevelt, who 2 said these people were corrupt.
  - BY MR. ABRAMS:
  - Q. Are you finished with that answer?
  - A. Yes, sir.
- Q. Do you personally have a view with respect 7 to the very subject that you averted to a few
- sentences ago, which is in terms of line drawing.
- 9 You had said maybe 1,000 wouldn't have the same 10
  - impact. I asked you about 5 or \$10,000.
- 11 A. I don't have a handle on that, Mr. Abrams. 12
- I know that it's so far out of control and out of the
- 13 realm of any objective view that it could be just
- 14 contributions to good government. I did support the
- 15 \$2,000 contribution limit in the law, because I felt
- 16 that that was probably the right thing to do given
- 17 that \$1,000 in '74 is about \$2,000 today. Hard
- 18 money.
- 19 Q. And you did oppose the Hagle proposal, did 20 you not?
- 21 Which proposal was that?
- 22 Which essentially would have capped soft 23 money contributions at the same level as hard money
- 24 contributions?
- 25 A. Yes. I believe so. To tell you the

## Page 27

- ì A. Excuse me. The House leadership has
- blocked consideration of the bill to the point where
- they blocked a discharge petition. It's very
- well-known. I might add when you raise big money,
- 200,000, 250,000 comes from pharmaceutical companies
- and the legislation it blocked, it creates the
- 7 appearance of corruption.
- Q. By the way, would the appearance of 8 9 corruption be significantly lessened if the amounts
- 10 were significantly lower?
- 11 A. Of course. If they were \$1,000
- contributions, I'm sure that people would not nearly
- have the same suspicion that you have when \$250,000
- is contributed towards money in pending legislation.
- 15 What you are asking me is where is the dividing line,
- but I'm not exactly sure what that is. I do know
- 17 what this is, Mr. Abrams. This is the appearance of 18 corruption.
- 19 MR. WITTEN: Senator is pointing to the
- 20 top --
- 21 THE WITNESS: \$250,000 from
- Glaxo-SmithKlein Pharm, Ely Lilly, 50,000. It's
- disgraceful. Teddy Roosevelt is turning over in his
- grave. It was Teddy Roosevelt that got repealed the,
- that got outlawed corporate contributions to American

- Page 29
- truth, I don't remember too well. I know I opposed
- Hagie's proposal, but I'm not -- I don't remember a
- 3 lot of the details of his proposal.
- Q. Could you direct your attention now to
- 5 paragraph 16. You refer there to the spending of
- what was reported to be \$35 million by the AFL-CIO on
- an issue ad campaign. Correct?
- A. Yes, sir.
- Q. And you refer in the last line on page 8
- to the national Republican Congressional Committee 10
- 11 filing a complaint with the Federal Election
- 12 Commission, which argued that "the AFL-CIO is using a
- 13 huge general treasury to unfairly influence the
- 14 outcome of elections."
  - Do you know what became of that complaint?
- A. I believe the complaint was not acted on, 16
- but I'm not sure. The reason why I bring this up is 17
- because it was probably the party who filed the 18
- 19 complaint. You will find no defense of the actions
- of the FEC from me, Mr. Abrams. They are the ones 20
- 21 that caused this problem. It wasn't passing laws
- 22 that repealed the corporate contribution, outgoing or
- 23 union contributions. It was the FEC.
- 24 Q. I just want to make sure I understand what
  - you know about this, Senator McCain. And my question

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Page 30

is this. This whole paragraph or a good part of it deals with a complaint and what it said. And I want to know if you are aware that the complaint was dismissed by the FEC?

- A. Yes. I'm aware, and my point was that the Republican Party felt that it was illegal, rather than any action of the FEC.
- Q. Similarly, in paragraph 17, you refer to a complaint filed by the Kentucky Republican Party which alleged that the Kentucky Democratic Party allegedly spent \$300,000 in what they referred to as 11 12 "a last-minute soft money television advertising 13 campaign in late 1990."

My question to you is the same. Is it not true that that complaint was dismissed by the FEC?

- A. Yes. Again, reminding you that it was the 16 17 FEC that opened the soft money loophole that has 18 caused the corruption and appearance of corruption in American politics today, so the fact that the FEC may 20 dismiss a complaint should mean little or nothing to 21
- 22 Q. Do you think that in preparing a 23 declaration of this sort for a case of this magnitude it might have been more candid on your part to describe to the courts what the ultimate resolution

Page 32

Page 33

made with respect to it.

THE WITNESS: Out of curiosity, why would the ACLU want to have it for counsel only something that seems to me flies in the face of everything that your organization represents?

BY MR. ABRAMS:

- O. Senator McCain, I don't have the honor of representing the ACLU specifically in this case, so I can't speak --
- 10 A. You have been associated with the 11 organization all your adult life, Mr. Abrams. Why would you keep something confidential which is 13 illegal and an impropriety?
- 14 Q. Are you now saying it's an impropriety? 15 Say it.
- A. I'm saying it was raised by Tobacco-Free 16 17 Kids. Is it confidential or open, then I'll answer your questions.
- Q. I'm taking the position it's confidential. 19 20 It may or may not be.
- 21 A. I don't have an answer to your question if 22 this is confidential.
- Q. Senator McCain, I'm afraid we don't play 23 24 by your rules today.
  - A. I'm sorry. I don't have an answer.

Page 31

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- was with respect to the complaints that you referred
- 2 A. My point was not how it was adjudicated by 3 obviously a great source of the problem that we are
- trying to address in the legislation. My point was
- that even Republicans on certain occasion felt that activities with soft money -- that was the point of
- 8 the deposition.

I'll be glad to add an addendum to both of 10 those saying that the FEC dismissed the complaint. Would you do that possibly?

> MR. WITTEN: Just did. Consider it done. BY MR. ABRAMS:

- Q. I want to refer now to paragraph eight.
- 15 A. Eight?

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- 16 Q. Eight. On page 4. And I will request 17 that this portion of the transcript be treated for counsel only. This refers to the same subject, 18
- 19 Senator McCain, that you referred to earlier.
- MR. WITTEN: Before you ask the question, 21 we object to this continuing effort to cover up
- 22 allegations about misconduct by Mitch McConnell, your client, which have been reported in the press. There
- isn't anything about this subject that warrants the
- assertion of confidentiality that you have repeatedly

- If you want to walk out, you can walk out.
- A. If it's not part of an open record --2
- 3 Q. You are a witness in a litigation, and you 4 will answer.

MR. WITTEN: I'm going to throw out a penalty flag here. Just ask questions, Floyd.

BY MR. ABRAMS:

- Q. Paragraph eight refers to a complaint made by the Campaign for Tobacco-Free Kids before the Federal Election Commission. And you quote from 11 that, do you not?
- A. Yes. 12
- Q. You quote from the complaint? 13
- A. Yes. 14
- Q. Of the Campaign for Tobacco-Free Kids. 15
- What became of that complaint, Senator McCain? 16
  - A. Who was that made against?
- Q. That was made in part against Senator 18 19 McConnell?
  - A. That was dismissed by the FEC.
- 21 Q. Last time I questioned you here, I asked
- also if you were aware that the Department of
- Justice, as well as the FEC had determined that there 23
- was no basis for any legal complaint with respect to
- that. At that point you said that you didn't know

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## Page 34

that. My question to you now is do you know it now?

A. I didn't check up on it. I'll take your word for it, and I don't care because clearly what we

are talking about here is the appearance of

5 impropriety and I don't know enough about laws to

6 know how illegal it is, but I know it's the wrong

thing to do to tell senators not to worry about their vote because a certain organization, especially

tobacco companies, will pay for their campaigns. 10

Q. Did they do that, Senator?

11 A. I was told that they did, but I didn't 12 check up on it. It doesn't matter to me. The fact is that the Senator said it. The Senator assured them of their vote. Maybe they reneged on their commitment. I don't know.

15 16 Q. Have you looked into the question at all 17 of what, if anything, the tobacco manufacturers did 18 with respect to the campaigns of Republican senators

19 in that campaign?

A. Well first of all, Mr. Abrams, it would be 20 21 very difficult for me to track tobacco money, just as

22 it's difficult to track a whole lot of different

23 kinds of money that flow through American political

24 campaigns. So I didn't even try. What bothered me

25 was not whether the tobacco companies did it or not

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Q. Good afternoon, Senator McCain. I'm Bobby Burchfield, representing the Republican National Committee, as last time. Let me ask the reporter to mark as McCain Exhibit B an excerpt from the Senate website concerning the vote on cloture in 1997 on the campaign finance bill.

> (McCain Exhibit B was marked for identification.)

Q. Senator, you have suggested in paragraph

BY MR. BURCHFIELD:

11 seven of your declaration that, and I quote, Congressional leaders also used soft money to enforce party discipline and loyalty to their views. At times when members seek to support legislation their Congressional leaders oppose, they are threatened

with the prospect that their leaders will behold soft 17 money being spent on their behalf. Do you see that?

A. Yes.

19 Q. In connection with that, do you 20 distinguish between the use of soft money by party

leaders and the use of hard money by party leaders to 21

support or oppose, to support or withhold support by 22 23 Congressional members who do not toe the line that

24 their party leaders want them to toe? 25

A. Obviously, hard money is raised in small

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and shouldn't bother anyone, to tell you the truth,

2 whether someone did it or not. It was the fact that

3 the statement was made to Republican senators just

prior to a vote. When I tell my constituents about

5 it, they are shocked. And angry.

6 MR. ABRAMS: I have no further questions 7 at this time, subject to the time limits that we have 8 agreed upon for today. I'll wait and see how long 9 Mr. Burchfield goes.

MR. WITTEN: Wait a second.

MR. ABRAMS: We are not going beyond the 11

12 time limits that we agreed.

MR. WITTEN: This is your chance. If 13

14 Bobby asks questions, you don't get to come back

15 unless I do some reviewing. This is your last 16

chance.

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MR. ABRAMS: My position is that I have

18 the right to continue. That this is one 19

cross-examination and that counsel can ask questions 20 for the time period as they see fit.

MR. WITTEN: We will deal with it if it 21

22 arises.

23 **CROSS EXAMINATION BY** 

24 COUNSEL FOR PLAINTIFF RNC 25

BY MR. BURCHFIELD:

amounts, according to the legal limits and soft money

is in an unlimited amounts of money, and very large

sums of money. So I may not like it if hard money

were used as some kind of motivation, but I don't

believe that it is anywhere, anywhere in the realm of

what's happened over the last 10 years or so in the conduct of the House of Representatives as attested

by Mr. Greenwood, Charlie Bass has been very open,

and a number of members of Congress have been open on

it. so I guess I do differentiate between soft and

11 hard money. I wouldn't like it if someone used hard

money, but the ability to influence when you raise

13 huge amounts of hard money as opposed to soft money

14 is dramatically different.

15 Q. If the party committees supported senators

16 and congressmen who vote against legislation

17 supported by the party or for legislation opposed by

the party with coordinated expenditures and hard

money contributions, wouldn't that be inconsistent 19

20 with what you are saying here?

MR. WITTEN: Object to the form of the 22 question.

BY MR. BURCHFIELD:

O. Let me withdraw that question and ask it a 24 different way. Senator, if you look on the second

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# Washington, D.C.

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                                                                                                                    Page 40
    page of McCain Exhibit B, you will see the list of
                                                                       A. Yes.
    senators who voted yea on the cloture petition, the
                                                                2
                                                                       Q. McCain Exhibit D is the report of the
    closure resolution on your campaign finance bill
                                                                    Republican National Committee indicating in the upper
     during the 1997 session. Are you with me on that
                                                                    right-hand corner of the first page a $5,000
 5
    page?
                                                                    disbursement on behalf of Ms. Collins. Do you see
 6
        A. Yes.
                                                                6
                                                                    that?
                                                                7
       Q. And you see that there are, that there are
                                                                       A. Yes.
    seven, eight Republican senators there. The first of
                                                                8
                                                                       Q. And do you see on the third page of this
    them is the late Senator Chafee?
                                                                    document, an excerpt from the National Republican
10
       A. Yes.
                                                                    Senatorial Committee's report showing a contribution
       Q. Are you aware that the Republican National
11
                                                                    to Senator Collins of $17,500?
12 Committee prior to his, the National Republican
                                                               12
                                                                       A. Yes. And I'm sure that every incumbent
13 Senatorial Committee prior to Senator Chafee's death
                                                                    that was up for re-election received $17,500. Which
    gave him the maximum hard money contribution on June
                                                                    is chicken feed, in all due respect. It's probably a
15 25, 1999?
                                                               15
                                                                    couple days salary in years when were you working on
       A. I was not aware of that.
16
                                                               16
                                                                    a campaign.
17
           MR. BURCHFIELD: Let me ask the reporter
                                                               17
                                                                       Q. When I was working on a campaign, Senator,
18 to mark as McCain Exhibit C pages of report of
                                                               18
                                                                   I assure you, that was more than a couple day's
    receipts and disbursements from the National
                                                               19
     Republican Senatorial Committee.
                                                               20
                                                                          MR. WITTEN: He was referring to the value
21
                  (McCain Exhibit C was
                                                               21
                                                                   of your services.
22
                  marked for identification.)
                                                               22
                                                                          BY MR. BURCHFIELD:
23
           BY MR. BURCHFIELD:
                                                               23
                                                                       Q. Well, in that event, I agree with you.
24
                                                               24
                                                                       A. 1/100th of the media buy that Senator
       Q. Do you see there, Senator, on line six of
                                                               25 Collins had to make in order to win her election.
25 the second page where it shows Chafee for Senate
                                                    Page 39
                                                                                                                   Page 41
```

5

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6-25-99, $17,500 contribution by the senatorial
2 committee?
```

A. Yes. I see it.

3

- 4 Q. And that's the maximum amount that the 5 senatorial committee is allowed to contribute to a candidate, is that correct? 6
- A. That is correct, and surely you don't 8 think it means anything. In any senatorial campaign, \$17,000. Come on. Multimillion dollar campaigns, 10 that's, that has zero impact. 11
- Q. Would coordinated expenditures on behalf 12 of the candidate have an impact?
- 13 A. You know, I don't know, and I'm not sure 14 what the point is, but I'm sure you will get to it. 15 But I don't know what would matter. I know that when huge amounts of soft money come into a campaign and 17 ensure issue ads and do all the things they are doing 18 that they have an effect on campaigns.

19 MR. BURCHFIELD: Exhibit D.

20 (McCain Exhibit D was 21 marked for identification.) 22 BY MR. BURCHFIELD:

23 Q. Senator, you will note that the next

24 Republican Senator who voted for closure in 1997 was Senator Susan Collins of Maine, do you see that?

Q. McCain Exhibit E concerns Senator

Hutchinson, who is up for election this year. 3 (McCain Exhibit E was 4

marked for identification.)

BY MR. BURCHFIELD:

- 6 Q. Senator, by press reports, Senator 7 Hutchinson is in a tough re-election race, is that 8 correct? 9
  - A. Yes.
- 10 Q. McCain Exhibit E are the FEC reports 11 filed, excerpts from the FEC reports filed by the
- senatorial committee and the RNC concerning their
- transfers for the Senate for Hutchinson campaign.
- Would you please look at the second page under item 15 C. Do you see there where the senatorial committee
- transferred \$14,457.74 to Senator Hutchinson on 16
- December 17th, 2001? 17 18
  - A. Yes.
- 19 Q. Do you see on the fourth page down transfer from the, a coordinated expenditure on
- 21 behalf of Senator Hutchinson by the Senatorial
- 22 Committee of \$69,412,18?
- 23 A. Yes.
- 24 Q. And do you see on the last page of this 25 document, a disbursement on behalf of Senator

```
Page 42
     Hutchinson by the Senatorial Committee on April 24.
                                                                  believe you confirmed that in your 1998 race, you
     2001 of $3,042.26?
                                                                  prevailed with something on the order of 68 percent
        A. Yes. I also noticed something from the
 3
                                                                  of the vote, is that right?
     Arizona Biltmore. 24th and Missouri, "purpose of
                                                                      A. Yes.
 5
     disbursement, meeting expense for Arkansas, in kind
                                                              5
                                                                      Q. And so you would not have been considered
     contribution." I'd be curious what's that all about
                                                                  a seriously challenged incumbent that year, is that
 7
     since it's only 24 blocks from where I live.
                                                              7
                                                                  right?
 8
                                                              8
        Q. Maybe you can inquire when you return
                                                                      A. I would think not.
    home. Senator, the next Senator that voted for
                                                                      Q. And, but as I see here, it looks as though
    cloture was Senator Jeffords of Vermont. Let me ask
                                                                  the Senatorial Committee provided to you $5,000.
     the reporter to mark as McCain Exhibit F.
                                                             11
                                                                  $6,000 of contributions.
12
        A. Will you be helping him in his next --
                                                             12
                                                                     A. Yes. It looks to me like Matt Fong and
        Q. I think I will reserve comment on that.
                                                             13
                                                                  Friends of Senator D'Amato and Missourians for Kit
13
14
     Will you?
                                                             14
                                                                  Bond.
                  (McCain Exhibit F was
15
                                                             15
                                                                      These are listed in the order in which
16
                  marked for identification.)
                                                             16
                                                                  they are made so sometimes your name appears with
17
           BY MR. ABRAMS:
                                                                  others. Your name is at the top of the first page?
                                                             17
18
                                                                     A. Now I understand.
        Q. This is no time to plead the Fifth,
                                                             18
                                                             19
                                                                     Q. Top of the second page. Top of the third
19
    Senator. Do you see on McCain Exhibit F, this is
    Jeffords, do you see on the second page of McCain
                                                             20
                                                                  page.
    Exhibit F a $17,500 maximum contribution from the
                                                             21
                                                                     A. Now I understand. Okay.
22
    Republican Senatorial Committee to Jeffords on June
                                                             22
                                                                     Q. Item E under the fourth page. Item C on
23
    23, 1999?
                                                                  the fifth page. And item I on the last page?
                                                             23
       A. Yes.
                                                                     A. Yes. 4 or $5,000 approximately, right, I
24
                                                             24
25
        Q. And do you see on the next page
                                                             25
                                                                  guess.
                                                                                                                Page 45
                                                                         Who was the chair of the Senatorial
    coordinated expenditures by the Republican National
                                                                  Committee at that time?
    Committee for Senator Jeffords, $49,608.41 on October
    12th, 2000?
 3
                                                                     A. Damned if I know.
                                                                         Wasn't it Mitch McConnell?
       A. Actually, I don't have that. But I see
                                                                     Q.
 5
                                                              5
                                                                     A. Yes.
    it.
                                                                     Q. The next entry here, the next Senator who
 6
           MR. WITTEN: It seems to be separated.
                                                              6
 7
           BY MR. BURCHFIELD:
                                                                  voted for closure?
 8
       Q. If the official copy doesn't have, it we
                                                                     A. I'm touched by his generosity. $4,000. I
 Q
    should correct that?
                                                                  hope it didn't cause him to shut the doors or
10
                                                                  anything and turn out the lights.
       A. I see.
                                                             10
       Q. You see below that it adds up all the
                                                                     Q. You'll have to inquire of him how much
11
                                                             11
                                                                  that hurt him. Senator, the next Senator who voted
12 aggregate general election expenditures for that
                                                             12
    candidate and that amount is $122,504?
                                                                  for cloture, the next Republican Senator is Senator
13
                                                             13
14
       A. Yes. Yes. I see that.
                                                                  Snowe. Let me ask the reporter to mark as McCain
15
       Q. That is not chicken feed in a state like
                                                                  Exhibit H the analogous FEC reports for Senator
                                                             15
```

12 (Pages 42 to 45)

Vermont, is it, Senator?

A. I would think not.

Q. The next Senator that voted for cloture in

1997 was one Senator John McCain. Let me ask the

(McCain Exhibit G was

marked for identification.)

20 reporter to mark as McCain Exhibit G the analogous

records from the Federal Election Commission.

O. Senator, the last time we were here, I

BY MR. BURCHFIELD:

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Snowe.

if you have one?

(McCain Exhibit H was

Q. Senator, my records show that Senator Snowe won 2000 with approximately 69 percent of the

vote. Does that jibe with your general recollection.

viewed as a very mercurial state with the largest

A. I know you would also agree that Maine is

BY MR. BURCHFIELD:

marked for identification.)

- registration being independent voters, so she won
- with that vote, but it's a very difficult state to 2
- 3 predict. I think that would be fair to add. It's
- 4 not a major point.
- 5 Q. But that would probably explain why the Republican Committees gave her almost \$70,000 despite
- the fact that she seemed to be walking away with the election?
- A. I would think it would be.
- 10 Q. If you look at these records, you will see
- 11 on the second page the \$17,500 maximum donation from
- the Senatorial Committee and then coordinated
- expenditures on the last two pages totaling
- approximately \$52,000. Do you see those? 14
- 15 A. Yes.
- Q. The next Senator who voted for cloture and 16
- 17 we are almost done was Senator Specter from
- Pennsylvania. And my records indicate that Senator
- Specter prevailed in 1998 when he ran for re-election
- with 61 percent of the vote. Does that again sound
- in line with your recollection if you have one? 21
- 22 A. Yes.
- 23 Q. Again, not a particularly close race?
- 24 A. Again, not a predictable state.
- 25 Q. Let me ask the reporter to mark as McCain

- Page 48
- political parties was not to raise money or it was part of it. I thought it was to organize volunteers,
- set up phone banks, get out the vote, et cetera,
- etcetera. As I mentioned in my deposition, the
- 5 Republican voter registration in my state has
- 6 actually declined over the last four years as the 7 amount of money raised goes up.
- 8 Q. And we will talk about that. But I want 9 to finish this topic first. The last Senator who
- 10 voted for cloture, the last Republican Senator who voted for cloture is Senator Thompson, who is 11
- 12 retiring this year, right? 13
  - A. Yes.
- Q. Senator, you talk in your affidavit about 14 15 such things as barbecues?
  - A. Yes.

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event?

A. Yes.

- Q. Do you remember being invited to the King County, Washington barbecue in 1999?
- 19 A. To appear as a presidential candidate, I 20 believe that Elizabeth Dole was there as well.
- 21 Q. Let me ask the reporter to mark as McCain
- 22 Exhibit J a letter to Senator McCain from Dale
- 23 Foreman, the Chairman of the Washington State 24
  - Republican Party dated June 11, 1999.

BY MR. BURCHFIELD:

Q. You did attend this event?

A. Yes. I was there.

(McCain Exhibit J was

marked for identification.)

Q. Senator, take a moment if you would like

to look at the letter and let me know when you are

ready to answer questions about the letter and the

Q. The third paragraph of this says the

picnic is always the highlight of the summer for

is free to the public and typically draws between

meeting their elected officials in a relaxed

5,000 to 10,000 grassroots Republicans who enjoy

atmosphere. The picnic offers live music, family

from nationally recognized Republican speakers.

Is that generally in accord of your

entertainment, vendor tables, informational booths

and a huge barbecue followed by political speeches

Republicans in Washington State. This annual event

## Page 47

- Exhibit I the analogous FEC reports concerning
- 2 Senator Specter. 3

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- (McCain Exhibit I was marked for identification.)
- 5 THE WITNESS: How much is this for 6 Specter?
  - BY MR. BURCHFIELD:
  - Q. By my addition and you may feel free to add it yourself, I get \$3,000?
- 10 A. Out of a campaign that probably cost them 11 7 million.
- 12 Q. Well, send for, if as you know, even if
- 13 the party committees provide the maximum 14
- contributions and the maximum coordinated 15 expenditures, that's going to be a very, very small
- 16 fraction of the total spending by a senatorial
- 17 campaign, wouldn't you agree with that?
- 18
- 19 Q. So if they do all they can do, it's very 20 little?
- 21
- 22 Q. And would you also agree with me that 23 political parties allocate their resources on the
- 24 basis of competitiveness?
- 25 A. Yes. But I also thought the job of
- A. Yes.
- 21
- 22 A. Except for the numbers, numbers which are
- 23 dramatically smaller. Dramatically smaller. The
- numbers were in the hundreds rather than the
- thousands. Maybe it was because of the speakers.

13 (Pages 46 to 49)

Page 49

Page 50 Page 52 Q. Or the barbecue. You may take your process. Yes. choice. You have attended other political barbecues 2 BY MR. BURCHFIELD: in your career, haven't you? Q. Such as noted here by Jessica Funkhouser. A. Yes. she says one factor behind the no party surge is that 5 O. Picnics? since 2000 independents have been allowed to vote in 6 A. Yes. party primaries, right? Q. Grassroots gatherings, correct? A. Yes. 8 8 Q. And that's certainly an advantage of party Q. They still occur, don't they? membership as opposed to registering as an 10 A. Much more rarely. independent, right? 10 Q. Have you done, have you seen any sort of 11 11 A. I don't think people, it's not my view 12 methodical analysis, Senator, to determine whether 12 that people register as independent because they want 13 there are fewer, the same as, or more grassroots to vote in a primary on either side. I think they gatherings of this sort now than there were when you vote as independents because they don't find a home 15 first came to Congress? 15 in either party. A. No. I have only seen them decline in Q. So you disagree with the state elections 16 17 Republican registration and the increase in director, Jessica funk Hauser that that's the one independent registration, and being invited to state 18 reason for the increase in independent voting? A. I disagree. party functions in my own state and other states 19 20 seemed to climb in attendance. 20 Q. It's also the Kate, isn't it, that the 21 Q. Does your campaign host barbecues? 21 motor voter legislation became effective in the mid 22 A. Sure. Yes. 22 '90s and was passed? 23 MR. WITTEN: The invitations are marked 23 A. Yes. When I think of these things, it's 24 highly confidential by the Republican Party. 24 not just Arizona. California Republican registration 25 BY MR. BURCHFIELD: is now 32 percent, 32 percent in the largest state in America. That's down from in the '40s when Ronald Q. Are you aware of other candidates who host 2 barbecues? Reagan was president of the United States or Governor A. Yes. 3 of California. It's been a steady decline. Q. You are aware of other state Republican O. I think you would agree with me that the 5 parties that host barbecues? Republican Party was under stronger leadership when A. Yes. President Reagan was Governor of California than it 6 Q. You have mentioned party voter has been now, than it has been for the last several years? That's got to be a distant proposition for registration a couple of times, and as an attachment to your declaration, I think you have attached an you to disagree with, Senator? 10 article from the Arizona Republic? 10 A. Well, I don't disagree with it, but does A. Yes. that mean that we would attribute a drop to 32 11 Q. And if you can find that. I don't recall percent of the registered voters are Republicans 12 because Ronald Reagan is no longer Governor, when he 13 which exhibit it is. 14 A. H. 14 was Governor in the '70s. Q. Exhibit H. This is the article from which Q. And president during the '80s. 15 you derived the figures about the decline in A. Well, I mean, that, then that may be a Republican registration in Arizona since 1992, is 17 commentary on the presidency of President Bush. 18 that right? 18 Q. What statistical, what empirical --19 A. Yes. 19 A. Both President Bushes. 20 Q. Senator, you would agree to me, wouldn't 20 Q. What empirical analysis have you seen that 21 you that there have been a large number of unusual 21 linked decline of party voter registration to the 22 events that have occurred in the political process 22 increase of political party soft money?

since 1992?

MR. WITTEN: In the world?

THE WITNESS: No. In the political

23

24

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Yes.

A. What empirical evidence do I have?

A. I have seen the registration go down and

# Washington, D.C.

2

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# Page 54

the money go up.

2

- But that could be caused by sunspots.
- 3 Sure it could.
- What I'm interested in is some statistical 4
- 5 analysis that considers all of the factors going on,
- 6 Motor Voter, the allowance of independents to vote in
- primaries, the Perot candidacy, the Nader candidacy
- 8 in 2000, the Buchanan candidacy in 2000, determines
- the reason voter registration is -- political party 10 or not political party has declined is political
- party soft money? Can you point me to anything? 11
- A. I can point to you some of the things you 12
- 13 have just said. We have done everything in our power
- 14 in Arizona, Oregon and other states to increase voter
- 15 registration. Motor Voter makes it easier. Vote by
- 16 mail. Last week voters in Arizona could start to
- vote in the general election, either by mail or 17
- 18 driving by someplace.
- 19 We are trying to increase, I mean, we are 20 making it so much easier for people to vote and yet
- the number of registered Republicans continues down,
- so I think, I enjoy this debate and I hope that
- someplace we can do this publicly, on C-SPAN or
- something. But I think we have done everything in
- 25 our power to make it easier to vote, and yet the

Page 56

- A. I believe so.
- Q. Versus a national average of about 18?
- A. I believe so. 3
  - Q. Senator, you referred a few times to the
- 5 telecommunications bill?
- A. Could I just mention one other thing. Why
- was Ross Perot possible? Why was the phenomenon of
- Ross Perot possible? If the parties had been strong
- and the parties had appealed to our constituencies,
- Ross Perot would never have appeared on the national
- 12 Q. Now that, sir, is the subject of a much,
- 13 much longer discussion. 14 A. I agree. But I don't know how if you had
- 15 had two strong, solid parties I don't see where Ross
- Perot would have had an opening. I'm taking up way 16
- 17 too much of your time.
- 18 Q. I'm happy to do, it but you are aware that
- Ross Perot spent more money out of his own pocket
- than the two major party candidates were able to
- 21 spend in public funding during 1992?
  - A. Yes.

22

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- 23 Q. Senator, you mentioned a number of times
- 24 the telecom bill. And I'm not quite clear the point
  - you are trying to make from that bill but let me just

# Page 55

- registration has gone in the opposite direction.
- 2 Q. Well Senator, isn't it the case that
- 3 before Motor Voter that the political parties really carried the lion's share of voter registration?
- A. I think that political parties today have
- the same obligation. I mean, we try to get people to register Motor Voter the same way that we try in
- other ways. I mean, when -- so --
  - Q. But today?
  - A. I say yes. Yes, I see your point.
- 11 Q. The point is people can walk up to get
- their driver's license and register to vote there?
- 14 Q. Whereas they used to have to be visited by 15 a political party person who would either take them to register or otherwise encourage them to register?
- 17 A. When they physically went down themselves. 18 Yes.
- 19

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- Q. That's different.
  - A. I understand.
- 21 Q. Do you know what Ross Perot's percentage
  - of the vote was in 1992 in Arizona?
- 23 A. It was high. It was in the 20s, I
- 24 believe.
- 25 Q. 24 percent?

Page 57 ask you this one question. What was the final Senate

- vote on the telecom bill?
- A. I think it was three votes against it.
- Q. 91-5?
- 5 Something, something along those lines.
  - Let me ask the reporter to mark as McCain
- 7 Exhibit K excerpts from the Congressional Record of
- February 1, 1996 reporting the outcome of the vote on
- 9 the telecom bill.
  - (McCain Exhibit K was marked for identification.)
  - BY MR. BURCHFIELD:
- 13 Q. Senator, on McCain Exhibit K on the next to last page is the recordation of the vote on the
- telecom bill which shows that you were joined by your
- colleagues Feingold, Leahy, Simon and Wellstone in 17 voting against that bill. Do you see that?
- 18
  - A. Yes.
- 19 Q. It isn't your position, Senator, is it,
- that the 91 senators who voted for that bill did so 21 as a result of soft money?
- 22 A. No. I believe that most Senators did not
- 23 understand the bill, understandably because it's a
- very complex piece of legislation. And the bill was
- written in conference and it's published media

## Page 58

1 reports that lobbyists wrote parts of those bills.

2 and I think it's also important to note, in fact,

3 it's even on this sheet, the promises that were made

that would, what would happen if this bill, when this

bill was passed. Lower rates, more competition,

6 Americans would be better off, lower cable rates,

7 ta-da-da-da-da.

R

Cable rates have gone up 40 percent, long ٥ distance rates have gone up, there is no competition,

10 everything has been tied up in the courts and 11 continues to be, so most senators did not understand

12 the Telecommunications Act and I wouldn't expect them

13 to because it's a very complex piece of legislation.

14 That's why we rely on committees to come forward with

15 legislation.

16 The promise of the legislation was 17 probably the wildest exaggeration as far as reality 18 is concerned of any bill that anybody around here has 19 seen passed.

20 Q. You mentioned that lobbyists had a hand in 21 drafting this legislation. Am I correct that the

Brennan Center or Common Cause and other groups had a

23 hand in crafting the bipartisan campaign Reform Act

24 of 2002?

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MR. WITTEN: I object.

before, he just said it. The privilege is not to be asked under the speech and debate clause. But I'm

not stopping you from answering.

BY MR. BURCHFIELD:

Q. I think you were about to say were you grateful for the help?

A. These are groups of people that have been involved in this issue for many, many years. It's one thing to have a special interest group write a

piece of legislation that directly financially

benefits them, as opposed to an organization such as the Brennan Center or Common Cause who have been

involved in this issue for many, many, many years to provide us with expertise on certain issues. In my

15 view, it's dramatically different.

Q. Well, you would agree with me that whether they are being compensated or not, the lobbyists on behalf of the telecom industry do have a better than average understanding of that complex industry?

A. Yes.

16

20

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Q. And presumably, some of your colleagues.

maybe even you, thought that the knowledge that those 23 telecommunications lobbyists brought to the table was

valuable to the United States Senate?

A. I thought that the information brought by

MR. BURCHFIELD: He can't have it both 2 ways, Roger.

MR. WITTEN: You can answer.

THE WITNESS: The -- I received constant advice from experts all over this country that supported this effort, whether they be the Brennan

Center or Common Cause or a myriad of people, Fred

Wertheimer, people expert in this area. I'm pleased

to receive their advice and counsel. They received 10 no compensation that I know of. They received no

lobbying fees that I know of and they didn't write 12 the specific legislation that was passed. We used

13 our staff to screen the legislation as it was

14 proposed and composed. 15

BY MR. BURCHFIELD:

16 Q. Well, it may be urban legend, Senator, but 17 it's my understanding that some groups such as the 18 Brennan Center and Common Cause actually had a 19 relatively heavy hand in drafting portions of this 20 legislation?

21 MR. WITTEN: Same objection. And --22 THE WITNESS: Same objection. Just let me 23 see, we were grateful for their help.

24 MR. WITTEN: The difference is these two, 25 this question and the one before, you asked, the one Page 61

their CEOs in open hearings was very valuable. I

thought that the information brought by Common Cause

and the Brennan Center and others in open meetings

that we had was valuable. I didn't think that it was

valuable to have a lobbyist write a piece of

legislation and that corporation then turn around and

give huge amounts of soft money to the political

campaigns. Last time I heard, Common Cause and

Brennan Center, none of them contributed to a 10

political campaign.

Q. So it's the donations, not the involvement 12 in the drafting process?

13 A. It's the involvement and the drafting process. It's the donation that buys access.

15 Everybody wants it in this town.

> Q. If Common Cause and the Brennan Center are not making donations, how did they get access?

17 18 A. They get access the same way any other

19 public interest group gets access to the Congress of

20 the United States in that they represent certain

interests, but they do not represent a big soft money donor. I believe every citizen should be heard

23 equally, and what's wrong with this system is that

24 the big donors get the access, which means the

influence. The small donors do not.

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Page 64

Page 65

## Page 62

Q. The American Association of Retired Persons voted consistently as the number one or number two most influential organization in this town, do they make political contributions?

A. I don't think that they do. But they 6 represent to me what's right. They don't give contribution. They represent millions of Americans. Look, I don't mind the phone companies come and make recommendations. I'll be glad to meet with them at 10 any time, but if they give \$500,000 or \$1 million to a fundraiser for incumbent, that creates the 11 12 appearance in my view that is not right.

13 Q. You refer to the generic drug bill in 14 paragraph 11 of your affidavit. Mr. Abrams asked you 15 some questions about that. I had only a couple. You were aware, aren't you, that the Annenberg time 17 reports for both 1996 and 2000, I believe, certainly 18 '98 and 2000 indicate that the -- that the 19 pharmaceutical industry was responsible for tens of millions of dollars of issue advocacy during those 21 perspective campaigns, right?

22 MR. WITTEN: Object to the form of the 23 question. You can answer it.

24 THE WITNESS: Yes. 25

BY MR. BURCHFIELD:

study. I do know that there is all kinds of ways to

get money for political campaigns. I don't know if

theirs is accurate. I know number one that Glaxo and

Pharma gave \$250,000 at one fundraiser, at one whack.

That certainly means to me they are very generous. I can't equate the Annenberg study comparisons because

7 I know that there is all kinds of ways to get money

8 into political campaigns. I can't trust the numbers.

Q. You would agree with me that what your bill 10 refers to as electioneering communications is one way 11 to get political money into the election system?

A. Yes.

12

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13 Q. And my basic point is, are you aware that 14 the pharmaceutical industry put more money into what 15 you refer to as electioneering communications than it put into direct soft money donations to the political 17 parties in 2000?

MR. WITTEN: The question is are you aware of it.

THE WITNESS: No. I'm not aware of it. BY MR. BURCHFIELD:

Q. Senator, do you have any reason --

23 A. I see what you are honestly trying to get

at here, and I have no problem with them running ads

25 like I see all the time where they say this young

Q. And did you also know that the amount that the pharmaceutical industry spent on issue advocacy far exceeded the amount that it made in soft money donations?

MR. WITTEN: Object to the form of the question. You keep using the phrase issue advocacy which is your argument, not a fact.

THE WITNESS: First of all, I don't know what the contents of the ads do because of your definition of issue ads and mine.

## BY MR. BURCHFIELD:

Q. Let me rephrase the question. You are also aware that the Annenberg study which used the term issue advocacy reported that the pharmaceutical industry had made tens of millions of dollars in expenditures or it is disbursements to buy political advertising during the '98 and 2000 seasons?

A. Yes.

19 Q. And you are aware that the amounts 20 reported by the Annenberg study for those 21 disbursements by the pharmaceutical were far higher 22 by a factor of 10 in some instances and maybe five or 23 10 than the pharmaceutical industry donated in soft 24 money to political parties?

25 A. See, I don't know about the basis of that lady's life was saved because Pfizer developed such

2 and such a drug. They are a wonderful company. I

3 have no problem with that. And some people think

4 they spend too much money on that and not enough on

5 R&D, etc. But if your point is that they spent a lot

6 of money on advertising their product as opposed to

7 how much they spent on political campaigns, then I

will accept your point.

Q. No. My point is a little bit different 10 than that, Senator. My point is they spend more on electioneering communications than they do on soft 12 money donations. You don't know whether that's true 13 or not? 14

A. No. I'm sorry.

15 Q. Do you have any reason to believe that you 16 can share with us if barred from donating soft money to the political parties they will not simply use that money to increase the amount they spend on, on 19 political advertising outside the 60-day window?

20 A. I would imagine if they had extra money, 21 they would spend it outside the 60-day window and that's okay with me, because most voters don't make up their minds until, as we all know, the last few days and the last few hours of an election campaign.

25 That's why we put in Jeffords.

17 (Pages 62 to 65)

### Page 66

- Q. During the 1996 campaign, the reports are that Bill Clinton, as advised by Dick Morris, began running the so-called issue ads a year or more before the 1996 election. Have you heard those reports?
  - A. Yes, I have.
- 6 Q. I happened to have been in a four-month trial in Detroit and saw them from October through 7 February on the air all the time. That's consistent 9 with what you have heard, right?
  - A. Yes.
- 11 Q. Millions of dollars on issue ads more than 12 a year out from the election?
- 13 A. Yes.

10

- 14 And have you also heard that the strategy 15 for doing that was to create a positive image and set
- the agenda for the upcoming '96 campaign? 17 A. Yes. And I also know that President 18 Clinton wrote many of those ads within the White
- House and incredibly the FEC said that that wasn't coordinated. One of the most bizarre things in
- American political history.
- 22 Q. Well, if the spending of millions of
- dollars on issue ads by President Clinton and Dick
- Morris was successful, as many say it was, in setting
- the issue debate, the issue parameters for the '96

## Page 68

- Q. And that's all they are entitled to spend?
- A. Yes.
- Q. Putting aside the issue that I know you disagree with about the political parties spending
- soft money on their behalf?
- 6 A. What's that about 2-1/2 months before the election.
- 8 Q. It's by my calculation, it's about 75 9 days. That's right. There is a window there in which issue interest groups could spend 100 percent soft money on electioneering communications that are
- 12 totally unregulated by the statute, is that right? 13 I believe so.
- And that could, you would agree, have a 15 significant effect in dictating the issue agenda for the fall campaign?
- 17 A. I don't think so. I think that people 18 again make up their minds, most of them, in the last period, stages of the campaign. That's why we
- conduct campaigns like we do. We don't spend all our money 75 days out. We spend the bulk of our
- money five or six days out in recognition of the fact 23 that there are so many voters that are still making
- 24 up their minds.
- 25 Q. Senator McCain, when we were here before,

- campaign, why are you so confident that the 60-day 2 window is going to keep them from setting the issue 3 agenda after a bill becomes effective?
  - A. Because our bill is less than perfect.
- 5 Our bill is a product of legislation, debate,
- discussion. This provision that you are referring to
- at this time was not mine. It was by Senator Snowe
- and Senator Jeffords. It's not a perfect, it's not a perfect piece of legislation.
  - Q. Have you seen any empirical work that attempts to discern the point in time at which the so-called electioneering communications begin to become effective, as opposed to the point in time
- 14 where they are less effective? 15 A. Well, I have seen study after study that
- most voters don't make up their minds until they start focusing on campaigns, which is near the end of 18 campaigns.
- 19 Q. Senator, you know that under the public 20 financing system that's applicable to presidential
- candidates, the party, the political candidates get, presidential candidates get I think it's about \$70
- some million now as they are nominated at the
- 24 convention?

25

10

11

A. Yes.

- Page 69 I asked you some questions about activities of
- interest groups that would go unregulated by the
- statute, and without replowing that ground, I think
- we agreed that interest groups could within the
- 60-day window continue to be involved with get out
- the vote activities such as phone banks, print
- advertisements, door to door canvassing, distribution
- of leaflets and so forth, correct?
- A. Correct. The so-called Snowe-Jeffords is 10 broadcast regulation.
- 11 Q. So anything that is not broadcast, the 12 interest groups can and presumably will continue to 13 do, correct?
- 14 A. Yes.

18

19

15 Q. Let me ask the reporter to mark as McCain Exhibit L a document from the NAACP National Voter 16 17 Fund website.

(McCain Exhibit L was marked for identification.)

20 MR. WITTEN: Can you tell me the scope of 21 what this relates to?

MR. BURCHFIELD: It relates generally to 22 23 paragraph 16 concerning issue ads, and it concerns 11, which concerns soft money donations. And it

concerns his general allegations which I can't put my





		Т	
	Page 70	İ	Page 72
1	finger on, Roger, that suggests that parties will be	1	A. Yes.
2	stronger once the statute goes into effect. I'm	2	Q. Paid media budget, \$2 million. It talks
3	absolutely confident I'm within the scope.	3	about direct mail, seven pieces of mail at a cost of
4	MR. WITTEN: Let's see what happens.	4	about \$2 million not covered by the statute, right?
5	BY MR. BURCHFIELD:	5	A. Yes.
6	Q. Senator, do you have in front of you	6	Q. Seven phone calls, two that are
7	McCain Exhibit L?	7	nonpartisan GOTV calls, one from Tom Joyner and one
8	A. I have read it.	8	from Bill Clinton at a cost of \$2 million not covered
9	Q. We don't need to go through this in great	9	by the statute, right?
10	detail but you know from looking at this that the	10	A. Right.
11	NAACP National Voter Fund is stating all of the	11	Q. Providing grants to about \$2 million.
12	things that it did in advance, in connection with the	12	A. Right.
13	2000 campaign to, as it puts it in the middle of the	13	Q. Bus tours? Do you see that?
14	first page there, elect a pro-civil rights president,	14	A. Yes.
15	Senate, and Congress. Do you see that?	15	Q. And then it says at the beginning of the
16	A. Yes.	16	election cycle, most pundits were predicting the
17	Q. And it's generally consistent with your	17	lowest turnout of African-American voters in history.
18	understanding, isn't it, that the NAACP took an	18	The efforts of the NAACP National Voter Fund, NAACP
19	historically active role in the 2000 election	19	
20	campaign?	20	turnout by over one million votes in the areas in
21	A. Good.	21	which we were organizing. The turnout in New York
22	Q. Now, some of the things that they have	22	increased by 22 percent in 1996 figures, in Florida
23	done as it reports here and tell me if this is	23	by 50 percent and in Missouri by 140 percent. Do you
24	inconsistent with your recollection, they put 80	24	see that?
25	staff in the field and a number of, and over 13	25	A. Yes.
	Page 71		Page 73
1	Page 71 states, you see the first bullet point at the bottom	1	Page 73  O. Those were close states electorally.
1 2	states, you see the first bullet point at the bottom	· ·	Q. Those were close states electorally,
1 2 3	·	1 2 3	
2	states, you see the first bullet point at the bottom of the page?  A. Yes.	2	<ul><li>Q. Those were close states electorally, weren't they?</li><li>A. Yes.</li></ul>
2	states, you see the first bullet point at the bottom of the page?  A. Yes.	2 3	Q. Those were close states electorally, weren't they?
2 3 4	states, you see the first bullet point at the bottom of the page?  A. Yes. Q. They registered over 200,000 people? A. Yes. Q. They had a get out the vote message	2 3 4	<ul><li>Q. Those were close states electorally, weren't they?</li><li>A. Yes.</li><li>Q. Florida was especially pivotal in that</li></ul>
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Q

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Page 74

- A. I see that.
- Q. Is that consistent of your understanding
- of how the NAACP funded its efforts through anonymous
- large donations?
- A. Yes.
- Q. And then skip a paragraph and the next one
- says several groups have tried to identify NAACP
- donors. Except for corporations that make their
- contributions public, the list of benefactors has
- 10 been kept from the public view. Consistent with your
- 11 understanding?
- 12 A. Yes. But look, I believe that some of
- 13 this is, has to be done under 527 and so I don't know
- enough of a background on this, but I believe that
- there has to be that any organization has to perform
- a 527. So they may be in violation of existing law.
- O. Are you aware of any proceedings to hold
- 18 the NAACP in violation of existing law?
- 19 A. No. I don't know of any proceedings of
- 20 the Wiley Brothers, who got together several million
- 21 dollars and ran ads entitled Republicans For a Clean
- 22 Environment against me. I don't know any proceedings
- have been brought against them because the FEC on a
- 3-3 tie vote not to investigate it.
- 25 Q. You would agree with me if one of the

different entity, yes.

2 Q. And if these, if these entities that, if

the NAACP entity, however organized, avoided

broadcast advertisements within 60 days of the election, that mentioned a candidate or referred to a

candidate, all of their activities?

MR. WITTEN: And was run in the

candidate's district.

BY MR. BURCHFIELD:

Q. Well, if it avoided all of that, well. let

me put it this way. The NAACP and its related 11

12 organizations could do every single thing that we 13 have looked at here in these articles under your,

under the statute you have sponsored, except run

broadcast electioneering ads within 60 days of the 15

election, referring to a candidate in that 16

17 candidate's district, correct?

A. In all candor, I have to give you an

19 answer in writing on that because I'm not, I haven't

20 looked at the statute since we passed it and I don't

21 disagree with your assertion, but I can't give you an

informed answer on it. It's a little too complex for 22

23 me not having reviewed the statute in some time. But

24 I would be glad to try to give you a written

response, if that's something that's --

- Wiley Brothers ran those very same ads against you.
- 2 it would not be covered by your statute, would it?
- A. Yes. Because they would have to perform a
- 527. Now, when they did it, it was legal. Now they
- have to perform a 527.
- Q. I may be wrong about this. It's my
- understanding that an individual acting with his own 7
- money is not required to so organize under your
- statute or under current law and that the Supreme
- 10 Court has indicated an individual can spend as much 11 of his own money as he wants without registering and
- 12 reporting. Is that contrary to your understanding?
- A. I don't know. I don't know the answer to 13
- 14 that.
- 15 Q. Let me ask you to look at the last page of
- 16 McCain Exhibit M under the date entry 2000. It says
- 2000: NAACP announces the formation of the
- 18 Americans for Equality and the National Voters Fund.
- The voter fund, a lobbying arm of the NAACP, spends
- 20 \$10.5 million on getting black voters to the polls.
- 21 Do you see that?
- 22 A. All right.
- 23 Q. Senator, a lobbying organization would
- 24 typically be a 501(c)(4), not a 527, is that right? 25
  - A. Yes. 527 would be a, yes, it would be a

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- MR. WITTEN: I think the statute speaks for itself and they will probably conclude that.

  - BY MR. BURCHFIELD:
- Q. Senator, are you aware of a project
- undertaken by the Republican National Committee after
- the 2000 election called the 72-hour task force?
  - A. They left me off their mailing list again.
- Q. I will get your name added to all the
- mailing lists that I'm on.
  - A. Thank you.
- Q. You will be cursing me soon. Were you 11
- generally aware, Senator, whether you knew of it by
- that name or not, that the Republican National
- Committee had undertaken a large-scale effort to 14
- 15 evaluate its get out the vote activities in relation
- to the activities of opposing groups, including but
- 17 not limited to the Democratic Party?
  - A. No.
- 19 Q. Let me read you, Senator, let me read you
- from a declaration submitted by a Mr. John Peschong,
- who was the western field director for the Republican 21
- National Committee. Have you ever met Mr. Peschong? 22
- 23 No. Not that I can recall.
- 24 Q. He used to be the executive director of
- former vice president Quayle's committee which was 25

6

7

8

9

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- located in Phoenix, in your fair state, I believe?
- 2 A. I may have met him.
- 3 Q. Mr. Peschong states in recent election
- 4 cycles, I have observed that some of the major
- interest groups such as the AFL-CIO, NEA, National 5
- Education Association, CTA, the California Teacher's
- Association and NAACP, have reduced their reliance on
- 8 broadcast issue advocacy, and shifted reliance to
- grassroots voter mobilization activities. Based on
- 10 my observation, the activities of these groups follow
- a typical pattern. A, these groups begin telephone
- 12 bank activity to identify supporters four to eight
- 13 weeks before the election. 14
  - B, for the next few weeks, these groups proceed toward direct mail issue advocacy program
- 15
- targeted to their identified supporters and prior 16
- 17 donors. Also during this period, these groups begin
- 18 distribution of absentee ballot applications.
- 19 C, two weeks before the election, I have 20 observed these groups begin intensive get out the
- 21 vote and voter mobilization activities. In
- 22 particular, they begin transporting supporters to
- 23 early voting locations, resume operating banks with
- 24 strong get out the vote messages, and employ persons
- 25 to walk door to door in sympathetic neighborhoods to

- Page 80
- vote efforts. He did mind the ad that was run which
- was really a nasty ad. But there were a lot of nasty
- 3 ads, mostly by us, I will agree.
  - Q. You have also heard taped telephone
- 5 messages that did the same thing?
  - A. I certainly have.
  - Q. And that's not prohibited or regulated by
  - the statute, is that correct?
    - A. That is correct.
- 10 Q. And then in paragraph 15 of his affidavit
  - Mr. Peschong says "the net effect of these enhanced
- grassroots voter mobilization efforts has been
- impressive. In the 1996 and 2000 presidential
- 14 elections, as well as the 1998 gubernatorial
- 15 election, the Democratic ticket significantly
- 16 outperformed the Republican ticket in relation to the
- 17 final pre-election day polls. Looking at the 2000
- 18 presidential election as an example, in the days
- 19 leading up to the election, it was reported that a
- 20 Zogby poll had Vice President Gore's lead over then
- 21 Governor Bush pegged at 43 percent to 40 percent. A
- Rasmussen poll also predicted the margin to be three
- points, and a Research 2000 poll showed the vice
- president leading by 7 percentage points. The actual
- 25 margin of victory in California was 12 points.

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- distribute literature and absentee ballots.
- 2 D, on election day, poll watchers for
- 3 certain of these interest groups monitor turnout in
- heavily Democratic precincts, and if turnout is low 5
- they direct their telephone banks to focus calls on households within those precincts. 6
  - Let me stop there and ask you so far if you have any basis to disagree with anything that I
- 9 have read you from Mr. Peschong's declaration?
- 10 A. No, except Mr. Peschong should also check 11
- as to how much money was spent on these ads and
- you'll find that they went up. I don't know what 13 they are focusing their activities on but I know what
- 14 they are focusing their money on, broadcast
- 15 advertising.

7

8

- 16 Q. Are you referring to these particular 17 groups, or other groups that might have been
- 18 spending --
- 19 A. All groups. You look down the list of 20 every group, money spent on broadcast advertising is
- 21 going up. AFL-CIO, NAACP you just referred to the ad
- 22 that they ran, the president of the United States is
- 23 still offended by that ad. He still has, gets angry
- when he, someone mentions that ad that was run by the
- 25 NAACP. I don't think he minded their get out the

- Page 81 Let me stop there and ask if your general
  - recollection is that in the days leading up to the 2000 presidential election, the final post-election
  - polls showed Governor Bush to be, if not comfortably

  - 5 ahead, at least ahead more than it turned out to be
  - the case? 6

7

13

- A. Well, a number of political pundits and
- experts which of course I count myself as one said
- that the revelation of the drunken driving incident
- 10 contributed to that sharp reduction over that 72
- 11 hours or however many hours was since its revelation.
- 12 That was also factored.
  - Q. You are not saying these get out the vote activities or interest groups had no effect, are you?
- 15 A. I'm sure they did and I wish we had a lot 16 more of it than Republican and Democrat.
- 17 Q. I have a couple more questions. Senator,
- 18 do you have any reason to believe that in a era when
- 19 national political parties have only hard money
- 20 resources, only federally regulated money, that they
- are going to be more inclined to spend those dollars 21
- 22 on get out the vote and other grassroots activity
- 23 than they are now?
- 24 A. I don't think so because get out the vote
- activity and grass route activity is very

21 (Pages 78 to 81)

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1	inexpensive. It's composed mainly of volunteers.		
2			
3	It's composed mainly of people who are donating their time and efforts and in many cases their phone line		
			į
4	or their cell phone.		
5	Q. If it's so inexpensive, why are they not		
6	doing it now?		
7	A They can't get anybody to do it because		
8	they are spending all their time raising soft money		
9	back and forth between national and state parties.		
10	MR. BURCHFIELD: That's all I have.		
11	MR. ABRAMS: Thank you, Senator McCain.		<b>\</b>
12	MR. WITTEN: I have no redirect. This		
13	examination is over.		
14	(Whereupon, at 5:00 p.m., the taking of		
15	the instant deposition ceased.)		
16	•		
17			
18	Signature of the Witness		
19			
	SUBSCRIBED AND SWORN to before me this	day	
	of, 2002.		
22			
23			
24	NOTARY PUBLIC		
25	My Commission expires:	_	
	······································		
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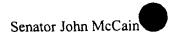
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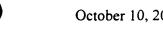
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