



September 25, 2024

Chairman Christopher A. Coons  
Vice Chairman James Lankford  
U.S. Senate Select Committee on Ethics  
220 Hart Building  
Washington, D.C. 20510

*Sent via email (mailbox\_office@ethics.senate.gov)*

Dear Chairman Coons and Co-Chairman Lankford:

Campaign Legal Center (“CLC”) respectfully requests that the U.S. Senate Select Committee on Ethics (“Ethics Committee”) investigate whether Senators Markwayne Mullin and Jack Reed failed to report stock transactions in violation of federal disclosure laws and Senate rules. In 2023, Sen. Mullin apparently made at least ten stock transactions worth up to \$150,000 and Sen. Reed made one transaction worth up to \$15,000 without disclosing the stock purchases and sales on periodic transaction reports (“PTRs”) as required by Senate rules and the STOCK Act. An Ethics Committee investigation is necessary to determine whether their failures to report were knowing and willful violations of the law.

Senators Mullin and Reed’s failure to disclose their stock trading activity appears to have violated the STOCK Act, a law that was designed to prevent the misuse of public office for private gain. Specifically, their actions defeat one of the major purposes of the STOCK Act: to provide real time disclosure to the public of their elected officials’ potential conflicts of interest. Senators must be held accountable for violating the STOCK Act beyond the \$200 penalty for late PTRs.

### **The STOCK Act and Senate Rules Require Senators to File Periodic Transaction Reports for Stock Transactions**

The STOCK Act amended the Ethics in Government Act of 1978 (“EIGA”) to require members of Congress to report their individual stock transactions no “later than 30 days after receiving notification of any transaction required to be reported

under section 13104(a)(5)(B) of this title, but in no case later than 45 days after such transaction . . . .”<sup>1</sup>

The Financial Disclosure Instructions issued by this Committee make clear that “all filers are required to disclose any purchase, sale, or exchange of any stock, bond, commodity future, or other security when the transaction exceeds \$1,000 by filing Periodic Transaction Reports (PTRs).”<sup>2</sup>

The Ethics Committee trains and reminds senators annually in writing of the consequences of failing to file PTRs. Specifically, the Committee on Ethics advises:

“In accordance with the EIGA, a required filer who knowingly or willfully falsifies information, or fails to file or report information required to be reported by § 13104 of the EIGA, may be subject to a civil penalty of up to \$50,000 and disciplinary action by the Committee and/or any other appropriate authority under § 13106 of the EIGA.”<sup>3</sup>

In addition, the Ethics Committee advises that 18 U.S.C. § 1001 is applicable to PTRs. “Knowing and willful falsification of information required to be reported by § 13104 of the EIGA may also be subject to criminal prosecution under 18 U.S.C. § 1001.”<sup>4</sup>

## **Sen. Mullin’s Annual Disclosures Reflect Changes in His Stock Portfolio That Do Not Have Corresponding PTRs, and Both Sen. Mullin and Sen. Reed Failed to File PTRs for Transactions Disclosed in Their Annual Reports**

### **1. Sen. Mullin’s Stock Trades**

While Sen. Mullin filed four PTRs in 2023, he failed to properly disclose at least ten stock purchases. His annual report covering Congressional Year 2023 lists at least nine stock holdings that were not listed on his filing the previous year.<sup>5</sup> Sen. Mullin never disclosed the purchases of these stocks, either in a PTR or in the transaction section of his annual disclosures.

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<sup>1</sup> 5 U.S.C. § 13105(l).

<sup>2</sup> U.S. Senate Select Committee on Ethics, Financial Disclosure Instructions for Calendar Year 2023 at 30, <https://www.ethics.senate.gov/public/cache/files/270d3e6d-8430-477a-a942-bfb7be7ac219/2023-financial-disclosure-instructions.pdf>.

<sup>3</sup> *Id.* at 7.

<sup>4</sup> *Id.*

<sup>5</sup> See The Honorable Markwayne Mullin, Annual Financial Disclosure Report for Calendar Year 2023, United States Senate Financial Disclosures (filed Aug. 12, 2024) (attached as Exhibit A); The Honorable Markwayne Mullin, Annual Financial Disclosure Report for Calendar Year 2022, United States Senate Financial Disclosures (filed Aug. 11, 2023) (attached as Exhibit B).

The stock purchases that Sen. Mullin failed to disclose include:

- Celsius Holdings, Inc. (valued between \$1,001 and \$15,000)
- Corteva Inc. (valued between \$1,001 and \$15,000)
- ExlService Holdings, Inc. (valued between \$1,001 and \$15,000)
- Fabrinet (valued between \$1,001 and \$15,000)
- Hubbell Inc. (valued between \$1,001 and \$15,000)
- Instructure Holdings Inc. (valued between \$1,001 and \$15,000)
- Insulet Corp. (valued between \$1,001 and \$15,000)
- PayPal Holdings, Inc. (valued between \$1,001 and \$15,000)
- Shopify Inc. (valued between \$1,001 and \$15,000)

Sen. Mullin never disclosed the purchases of these stocks; he began listing them as held assets on his 2023 annual report.

Under certain rare circumstances an asset purchased during the reporting period may appear on an annual financial disclosure report, but not require a PTR. Specifically, a PTR may not be required if the initial stock purchase was below the reporting threshold: transaction amounts that exceed \$1,000. That asset may then appear on a subsequent annual report if the value appreciated is above the reporting threshold during the reporting period. However, this exception does not seem to apply because many of the stocks Sen. Mullin purchased and failed to report decreased in value during 2023.<sup>6</sup>

Additionally, Sen. Mullin did not disclose the partial sale of a joint holding of stock in Veralto Corporation (valued between \$1,001 - \$15,000) in September 2023 on a PTR, but he did disclose the sale on his annual report.<sup>7</sup>

## **2. Sen. Reed's Stock Trade**

Sen. Reed listed the purchase of at least one stock, GoldOn Resources Ltd. (valued between \$1,001 - \$15,000), on his 2023 financial disclosure report.<sup>8</sup> He did not disclose this transaction on a PTR.

## **Sen. Mullin and Sen. Reed May Have Knowingly Violated the STOCK Act**

Based on publicly available information, both Sen. Mullin and Sen. Reed may have knowingly and willfully violated the STOCK Act and Senate rules because they knew of the STOCK Act disclosure requirements. Both Senators have

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<sup>6</sup> The value of stocks for financial disclosures is based on the year-end market value. Corteva Inc., ExlService Holdings Inc., Insulet Corp., and Paypal Holdings, Inc. all had a lower closing price on the last day of trading in 2023 than in 2022. See Market Activity, Nasdaq, <https://www.nasdaq.com/market-activity/stocks> (last visited Sep. 24, 2023).

<sup>7</sup> Sen. Mullin Annual Financial Disclosure Report for Calendar Year 2023, Exhibit A.

<sup>8</sup> The Honorable John F. Reed, Annual Financial Disclosure Report for Calendar Year 2023, United States Senate Financial Disclosures (filed May 15, 2024) (attached as Exhibit C).

previously filed PTRs. Sen. Mullin even disclosed in a PTR filed in January 2024 that he made partial sales of the nine stocks he apparently acquired in 2023.<sup>9</sup> Further, in a story about the potential conflicts-of-interest posed by other transactions made by Senator Mullin, an unnamed spokesperson was quoted saying: “Sen. Mullin follows the law as well as Senate Ethics rules.”<sup>10</sup> Sen. Reed was a co-sponsor of the STOCK Act when it was passed by the Senate in 2012.<sup>11</sup>

## Conclusion

The STOCK Act requires senators to timely file PTRs for any individual stock trades. Based on the available facts, there is probable cause to believe that both Sen. Mullin and Sen. Reed were aware of this requirement, and their failure to file transaction reports violates the STOCK Act.

CLC respectfully requests that the Ethics Committee open a preliminary review to determine whether Sen. Mullin and Sen. Reed’s nondisclosure was an intentional violation of the STOCK Act and Senate rules.

We acknowledge that 18 U.S.C. § 1001 applies to the information provided.

Respectfully submitted,

\_\_\_\_\_/s/\_\_\_\_\_  
Kedric L. Payne  
General Counsel, Vice President, and Sr.  
Director, Ethics

\_\_\_\_\_/s/\_\_\_\_\_  
Sophia Gonsalves-Brown  
Senior Researcher, Campaign Finance and  
Ethics

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<sup>9</sup> The Honorable Markwayne Mullin, Periodic Transaction Report for 01/26/2024, United States Senate Financial Disclosures (Jan. 26, 2024) (attached as Exhibit D).

<sup>10</sup> Alexandria Jacobson, *These 51 members of Congress have violated a conflicts-of-interest law*, RawStory (July 24, 2024), <https://www.rawstory.com/congressional-stock-trading-violations/>.

<sup>11</sup> Press Release, Jack Reed United States Senator for Rhode Island, Reed Statement on Senate Passage of Stock Act (Feb. 2, 2012), <https://www.reed.senate.gov/news/releases/reed-statement-on-senate-passage-of-stock-act>.

# **EXHIBIT A**

# Financial Disclosures

## Annual Report for Calendar 2023

The Honorable Markwayne Mullin (Mullin, Markwayne)

 Filed 08/12/2024 @ 6:08 PM

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
  - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- 

I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Arvest Bank</b> (Lowell, Arkansas) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
3	<b><u>DODIX</u> - Dodge &amp; Cox Income Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
4	<b>American Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
5	<b>Cohen &amp; Steers Preferred</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
6	<b>Invesco Exchange-Traded FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
7	<b>Ishares TR PFD &amp; Income SECS ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
8	<b>Global X FDS US PFD ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9	<b>Nuveen High Yield Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10	<b>Nuveen Limited Term Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11	<b><u>JHBIX</u> - John Hancock Bond Fund Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
12	<b>Principal Spectrum Preferred AND</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
13	<b>Vaneck ETF TR High Yield Mun Index ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
14	<b>Victory Market Neutral Income Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
15	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
16	<b><u>DODGX</u> - Dodge &amp; Cox Stock Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
17	<b>Fidelity Advisor Real Estate Income</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
18	<b>Ishares TR Select Divid ETF FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
19	<b>Ishares TR Core S&amp;P Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
20	<b>Ishares TR Russell 1000 ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
21	<b>Ishares TR Core S&amp;P Small-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
22	<b>John Hancock Exchange Traded FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
23	<b>Pacers FDS TR Data Infrastructure Real</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
24	<b>Pimco Commodities Plus Strategy Fund Class I2</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
25	<b>Schwab Strategic TR US Mid-Cap</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
26	<b>Vanguard Specialized Funds Divid</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
27	<b>Vanguard Intl FD FTSE Developed Mkts</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
28	<b>Vanguard Intl Equity Index FDS FTSE</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
29	<b>Vanguard Index FDS Real Estate Index FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
30	<b>Victory Market Neutral Income Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
31	<b>Northwestern Mutual</b>	Life Insurance Variable	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
32	<b>Mullin Realty LLC</b> <i>Description: Florida Investment Property (Englewood, FL)</i>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
33	<b>The Christie Renee Mullin Insurance Trust</b> <i>Provider: Provident Trust Group</i>	Life Insurance Whole	Spouse	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
34	<b>American High Income Municipal</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Other, (Tax-Exempt Dividends)	Other \$9,090.28
35	<b>Nuveen High Yield Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	\$5,001 - \$15,000 Other \$9,105.70
36	<b>Nuveen Limited Term Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	\$201 - \$1,000 Other \$550.26
37	<b>Vaneck ETF TR High Yield Mun Index ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	\$2,501 - \$5,000 Other \$4,696.55
38	<b>Vanguard Intermediate Term Tax Exempt Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	\$1,001 - \$2,500 Other \$1,517.21
39	<b>Mullin Ranch LLC</b> <i>Description: Cattle Ranch (Westville, OK)</i>	Farm	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
40	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
41	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
42	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
43	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
44	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
45	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
46	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
47	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
48	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49	<b>Rowan's Restaurant LLC</b> <i>Company:</i> Rowan's Restaurant LLC (Stillwell, OK) <i>Description:</i> Restaurant in Stillwell, Oklahoma.	Business Entity Limited Liability Company (LLC)	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
50	<b>Mullin Properties LLC</b> <i>Company:</i> Mullin Properties LLC (Westville, OK) <i>Description:</i> Property and Land in Oklahoma.	Business Entity Limited Liability Company (LLC)	Joint	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$201 - \$1,000
51	<b>Mullin Realty LLC</b> <i>Description:</i> Washington DC Investment Property (Washington, DC)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
52	<b>ABT - Abbott Laboratories Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
53	<b>AXP - American Express Company</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
54	<b>ELV - Elevance Health Inc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
55	<b>AAPL - Apple Inc</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
56	<b>AMAT - Applied Materials, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
57	<b>BLK - Blackrock Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
58	<b>CSX - CSX Corp</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
59	<b><u>CAT</u> - Caterpillar Inc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
60	<b><u>CVX</u> - Chevron Corp</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
61	<b><u>COKE</u> - Coca Cola Bot Cons</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
62	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
63	<b>Cohen &amp; Steers Real Estate</b>	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
64	<b>Comcast Corp New CL</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
65	<b>Conoco Phillips Com</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
66	<b>Constellation Brands Inc CL A</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
67	<b>Costco Wholesale Corp New Com</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
68	<b><u>DHR</u> - Danaher Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
69	<b><u>DE</u> - Deere &amp; Company Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
70	<b><u>EMR</u> - Emerson Electric Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
71	<b>Fidelity Advisor Focus Emerging</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
72	<b>Goldman Sachs Group</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
73	<b>Goldman Sachs International</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
74	<b>American Growth Fund of America</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
75	<b><u>HLT</u> - Hilton Worldwide Holdings Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
76	<b><u>HD</u> - Home Depot, Inc. (The) Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
77	<b><u>HON</u> - Honeywell International Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
78	<b><u>ICE</u> - Intercontinental Exchange Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
79	<b>Ishares Inc MSCI Eurozone ETF</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
80	<b>Ishares TR Core S &amp; P Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
81	<b>Ishares TR Russell 1000 ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
82	<b>Ishares TR Core S &amp; P Small-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
83	<b>Ishares TR Core MSCI EAFE ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
84	<b>Ishares Inc Core MSCI Emerging Mkts</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
85	<b><u>JPM</u> - JP Morgan Chase &amp; Company</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
86	<b><u>KLAC</u> - KLA Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
87	<b><u>LLY</u> - Eli Lilly and Company Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
88	<b><u>MA</u> - Mastercard Incorporated Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
89	<b><u>MSFT</u> - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
90	<b><u>NVDA</u> - Nvidia Corp</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
91	<b><u>PCLPX</u> - Pimco Commoditiesplus Strategy Fund Class I-2</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
92	<b>Proctor &amp; Gamble Co</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
93	<b><u>RTX</u> - RTX Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
94	<b><u>TRBCX</u> - T. Rowe Price Blue Chip Growth Fund Inc.</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Capital Gains,	\$50,001 - \$100,000
95	<b>S &amp; P Global Inc</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
96	<b>SPDR S &amp; P Mid Cap 400</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
97	<b>Sherwin Williams Co</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
98	<b><u>SBUX</u> - Starbucks Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
99	<b><u>TMUS</u> - T-Mobile US</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
100	<b><u>TXN</u> - Texas Instruments Incorporated - Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
101	<b><u>TMO</u> - Thermo Fisher Scientific Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
102	<b><u>UNP</u> - Union Pacific Corp</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
103	<b>United Health Corp Inc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
104	<b><u>VLO</u> - Valero Energy Corp</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
105	<b>Vanguard World FDS MATLS ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
106	<b>Vanguard World FDS UTILS ETF</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
107	<b>Vanguard Index FDS Real Estate Index FD</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
108	<b>Vanguard Index FDS Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
109	<b><u>CBHIX</u> - Victory Market Neutral Income Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
110	<b>Visa Inc Com CL A</b>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
111	<b><u>ZTS</u> - Zoetis Inc Cl A</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
112	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Interest,	\$5,001 - \$15,000
113	<b>American Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
114	<b><u>PSF</u> - Cohen &amp; Steers Preferred Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
115	<b>Global X FDS US PFD ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
116	<b>John Hancock Bond Fund Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
117	<b>Invesco Exchange Traded FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
118	<b>Ishares TR PFD &amp; Income Secs ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
119	<b>Nuveen High Yield Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	None (or less than \$201) Other \$1,821.00
120	<b>Nuveen Limited Term Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	None (or less than \$201) Other \$123.00
121	<b>Principal Spectrum Preferred</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
122	<b>Vaneck TR High Yield Mun Index ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Other, (Tax-Exempt Dividends)	None (or less than \$201) Other \$1,614.00
123	<b><u>CBHIX</u> - Victory Market Neutral Income Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
124	<b><u>HIMFX</u> - American High-Income Municipal Bond Fund - Class</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Other, (Tax-Exempt Dividends)	Other \$1,932.00
125	<b>Vanguard Intermediate Term Tax-Exempt Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Other, (Tax-Exempt Dividends)	Other \$307.00
126	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
127	<b><u>DGQMM</u> - Dreyfus Government Cash Management Wealth Shares</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
128	<b>FT Cap Strgth Opp 26 RE</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
129	<b>FT TGT Div Dbl 1Q23 RE</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
130	<b><u>OKE</u> - ONEOK, Inc. Common Stock</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
131	<b>JPM Liq Asser Mmkt Prmr</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
132	<b>Albemarle Corp</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
133	<b><u>CTVA</u> - Corteva Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
134	<b>FT S&amp;P TGT 25 1Q22 Fere</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
135	<b>FT S&amp;P TGT 25 2Q23 Fere</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
136	<b>FT Cap Strgth Opp 28Fere</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
137	<b><u>FCFS</u> - Firstcash Holdings Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
138	<b>HP Inc</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
139	<b><u>HUBB</u> - Hubbell Inc Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
140	<b>Cavanal Hill Govt Sec Mmkt</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
141	<b>Oklahoma St Dev Fin Auth Hlths REV</b>	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
142	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
143	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
144	<b><u>AZO</u> - AutoZone, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
145	<b><u>BRK.B</u> - Berkshire Hathaway Cl B</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
146	<b><u>BSX</u> - Boston Scientific Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
147	<b><u>CMG</u> - Chipotle Mexican Grill</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
148	<b><u>EW</u> - Edwards Lifesciences Corp</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
149	<b><u>META</u> - Meta Platforms, Inc. - Class A Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
150	<b><u>FI</u> - Fiserv, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
151	<b><u>LRCX</u> - Lam Research Corp</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
152	<b><u>PANW</u> - Palo Alto Networks Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
153	<b><u>PEP</u> - PepsiCo Inc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
154	<b><u>CRM</u> - Salesforce Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
155	<b><u>ADBE</u> - Adobe Systems Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
156	<b>AMD - Advanced Micro Devices, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
157	<b>GOOGL - Alphabet Inc. - Class A Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
158	<b>Amazon Com Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
159	<b>Franklin Small-Cap Value Fund Advisor</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends, Capital Gains,	\$15,001 - \$50,000
160	<b>Johnston Cnty NC Go Ref BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$1,979.00
161	<b>Central Weber Utah SWR Impt Dist SWR Rev BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$1,253.00
162	<b>New Mexico Fin Auth St Transn Rev Ref BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$200.00
163	<b>Austin Tex Pub Impt Ref BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$1,069.00
164	<b>Lucas Cnty Ohio Ltd Tax Var Purp Impt Go BD Antic NTS</b> <i>Rate/Coupon: 4.375%</i> <i>Matures: 10/11/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$912.00
165	<b>Upton Cnty Tex Tax Tax NTS 2023</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/25</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$1,056.00
166	<b>Pocono Mtn PA Sch Dist Go NTS</b> <i>Rate/Coupon: 4.5%</i> <i>Matures: 10/01/26</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$558.00

	Asset	Asset Type	Owner	Value	Income Type	Income
167	<b>Alamogordo N Mex Mun Sch Dist No 001 Go BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/27</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Other, (Accrued Interest)	Other \$300.00
168	<b>Kaukauna Wis Antic NTS 2023B</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/28</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$913.00
169	<b>Waxahachie Tex Indpt Sch Dist Ult'd Tax Ref BDS</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/15/34</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$1,436.00
170	<b>Texas St GO Mobility FD BDS</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/24</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$950.00
171	<b>Clear Creek Tex Indpt Sch Dist Unltd Tax Ref BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$375.00
172	<b>Macomb Inter Sch Dist Mich Unltd Tax Go Bldg Site BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$925.00
173	<b>Nevada St Ltd Tax Go Cap Impt BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$750.00
174	<b>IPS Multi-Sch Bldg Corp Ind Unltd Advalorem Ppty Tax</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$1,088.00
175	<b>Austin Tex CTFS Oblig</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$956.00
176	<b>Fort Lauderdale Fla Wtr &amp; Swr Rev BDS</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/38</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$900.00

	Asset	Asset Type	Owner	Value	Income Type	Income
177	<b>Seattle Wash Drain &amp; Wastewater Rev Ref Rev BDS</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/01/39</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$633.00
178	<b>New York NY City Mun Wtr Fin Auth Wtr &amp; Swr Sys Rev</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/39</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Other, (Accrued Interest)	Other \$200.00
179	<b>Cook Cnty Ill Cmnty Cons Sch Dist No 015 Palatine Go BDS</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 12/15/39</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Other, (Accrued Interest)	Other \$774.00
180	<b>Bank America NA Charlotte NC CTF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
181	<b>Bank Southn Calif NA San Diego CTF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
182	<b>US Bk Natl Assn Instl CTF Dep</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
183	<b>First Trust Cap Strength Oppty</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
184	<b>First Trust TGT Divid Dbl Play</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
185	<b>First Trust S&amp;P Div Arst TGT</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
186	<b><u>NKE</u> - Nike Inc</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
187	<b><u>CELH</u> - Celsius Holdings Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
188	<b><u>EXLS</u> - ExlService Holdings, Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
189	<b><u>FN</u> - Fabrinet</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
190	<b><u>FCFS</u> - Firstcash Holdings Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
191	<b><u>INST</u> - Instructure Holdings Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
192	<b><u>PODD</u> - Insulet Corp</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
193	<b><u>PYPL</u> - PayPal Holdings, Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
194	<b><u>SHOP</u> - Shopify Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
195	<b>TC4 Investment LLC</b> <i>Description: Oklahoma Investment Property (Pryor, OK)</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
196	<b>Mullin Properties West Division LLC</b> <i>Description: Various Oklahoma Investment Properties (Oklahoma, OK)</i>	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	Rent/Royalties, Interest,	\$5,001 - \$15,000
197	<b>Corporate Stock</b> <i>Company: Citizens Bankshares Inc (Edmond, OK) Description: Banking</i>	Corporate Securities Non-Public Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
198	<b>Humphreys Fund I REIT</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Interest,	\$1,001 - \$2,500
199	<b>Cleveland Cnty OK Eductnl Facs Rev</b> <i>Rate/Coupon: 5.0% Matures: 06/01/32</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
200	<b>Oklahoma Dev Fin Auth Lease Rev</b> <i>Rate/Coupon: 5.0% Matures: 12/01/29</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
201	<b>Oklahoma St Dev Fin Auth Hlths Rev</b> <i>Rate/Coupon: 5.0% Matures: 08/15/31</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Other, (Accrued Interest)	Other \$1,765.00

	Asset	Asset Type	Owner	Value	Income Type	Income
202	<b>Oklahoma St Turnpike Auth Rev</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/25</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Other, (Accrued Interest)	Other \$661.00
203	<b>Purcell OK Public Wks Auth Sal Rev</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/30</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Other, (Accrued Interest)	Other \$194.00
204	<b>Tulsa Cnty OK Indl Auth Eductn Rev</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/27</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Other, (Accrued Interest)	Other \$272.00
205	<b>Univ OK Rev</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/28</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Other, (Accrued Interest)	Other \$525.00

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	09/13/2023	Joint	ELV	Elevance Health, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
2	09/13/2023	Joint	AMAT	Applied Materials, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
3	09/13/2023	Joint	AZO	AutoZone, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
4	09/13/2023	Joint	BRK.B	Berkshire Hathaway Cl B	Purchase	\$15,001 - \$50,000	--
5	09/13/2023	Joint	ZTS	Zoetis Inc. Class A Common Stock	Purchase	\$15,001 - \$50,000	--
6	09/13/2023	Joint	BLK	BlackRock, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
7	09/13/2023	Joint	--	AUSTIN TEX PUB IMPT REF BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/24</i>	Purchase	\$50,001 - \$100,000	--
8	09/13/2023	Joint	--	AUSTIN TEX CTFS OBLIG <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/38</i>	Purchase	\$50,001 - \$100,000	--
9	09/13/2023	Joint	--	CENTRAL WEBER UTAH SWR IMPT DIST SWR REV BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/24</i>	Purchase	\$50,001 - \$100,000	--
10	09/13/2023	Joint	--	IPS MULTI-SCH BLDG CORP IND UNLTD AD VALOREM PPTY TAX FIRST MTG BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/38</i>	Purchase	\$50,001 - \$100,000	--
11	09/13/2023	Joint	TMUS	T-Mobile US	Purchase	\$15,001 - \$50,000	--
12	09/13/2023	Joint	--	ALAMOGORDO N MEX MUN SCH DIST NO 001 GO BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/27</i>	Purchase	\$15,001 - \$50,000	--
13	09/13/2023	Joint	ABT	Abbott Laboratories Common Stock	Purchase	\$15,001 - \$50,000	--
14	09/13/2023	Joint	ADBE	Adobe Systems Inc	Purchase	\$15,001 - \$50,000	--
15	09/13/2023	Joint	AMD	Advanced Micro Devices, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
16	09/13/2023	Joint	GOOGL	Alphabet Cl A	Purchase	\$15,001 - \$50,000	--
17	09/13/2023	Joint	AMZN	Amazon.com Inc	Purchase	\$50,001 - \$100,000	--
18	09/13/2023	Joint	AXP	American Express Company Common Stock	Purchase	\$15,001 - \$50,000	--
19	09/13/2023	Joint	AAPL	Apple Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
20	09/13/2023	Joint	SBUX	Starbucks Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
21	09/13/2023	Joint	SHW	Sherwin-Williams Company	Purchase	\$15,001 - \$50,000	--
22	09/13/2023	Joint	CRM	Salesforce, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
23	09/13/2023	Joint	SPGI	S&P Global Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
24	09/13/2023	Joint	RTX	RTX Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
25	09/13/2023	Joint	PG	Procter & Gamble Company	Purchase	\$15,001 - \$50,000	--
26	09/13/2023	Joint	PEP	Pepsico Inc	Purchase	\$15,001 - \$50,000	--
27	09/13/2023	Joint	PANW	Palo Alto Networks, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
28	09/13/2023	Joint	NVDA	NVIDIA Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
29	09/13/2023	Joint	NKE	Nike Inc	Purchase	\$15,001 - \$50,000	--
30	09/13/2023	Joint	MSFT	Microsoft Corp	Purchase	\$15,001 - \$50,000	--
31	09/13/2023	Joint	MA	Mastercard Inc	Purchase	\$15,001 - \$50,000	--
32	09/13/2023	Joint	LLY	Eli Lilly and Company	Purchase	\$15,001 - \$50,000	--
33	09/13/2023	Joint	LRCX	Lam Research Corp	Purchase	\$15,001 - \$50,000	--
34	09/13/2023	Joint	KLAC	KLA Corporation - Common Stock	Purchase	\$50,001 - \$100,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
35	09/13/2023	Joint	JPM	JP Morgan Chase & Co. Common Stock	Purchase	\$15,001 - \$50,000	--
36	09/13/2023	Joint	ICE	Intercontinental Exchange	Purchase	\$15,001 - \$50,000	--
37	09/13/2023	Joint	HON	Honeywell International Inc	Purchase	\$15,001 - \$50,000	--
38	09/13/2023	Joint	HD	Home Depot, Inc. (The) Common Stock	Purchase	\$15,001 - \$50,000	--
39	09/13/2023	Joint	HLT	Hilton Worldwide Holdings Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
40	09/13/2023	Joint	GS	Goldman Sachs Group, Inc. (The) Common Stock	Purchase	\$15,001 - \$50,000	--
41	09/13/2023	Joint	FI	Fiserv, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
42	09/13/2023	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Purchase	\$50,001 - \$100,000	--
43	09/13/2023	Joint	EMR	Emerson Electric Company	Purchase	\$15,001 - \$50,000	--
44	09/13/2023	Joint	EW	Edwards Lifesciences Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
45	09/13/2023	Joint	DE	Deere & Company	Purchase	\$15,001 - \$50,000	--
46	09/13/2023	Joint	DHR	Danaher Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
47	09/13/2023	Joint	STZ	Constellation Brands, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
48	09/13/2023	Joint	COP	ConocoPhillips Common Stock	Purchase	\$15,001 - \$50,000	--
49	09/13/2023	Joint	CMCSA	Comcast Corporation - Class A Common Stock	Purchase	\$15,001 - \$50,000	--
50	09/13/2023	Joint	KO	Coca-Cola Company	Purchase	\$15,001 - \$50,000	--
51	09/13/2023	Joint	CMG	Chipotle Mexican Grill	Purchase	\$15,001 - \$50,000	--
52	09/13/2023	Joint	CVX	Chevron Corp	Purchase	\$15,001 - \$50,000	--
53	09/13/2023	Joint	CAT	Caterpillar, Inc. Common Stock	Purchase	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
54	09/13/2023	Joint	CSX	CSX Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
55	09/13/2023	Joint	BSX	Boston Scientific Corp	Purchase	\$15,001 - \$50,000	--
56	09/13/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Purchase	\$15,001 - \$50,000	--
57	09/13/2023	Joint	TMO	Thermo Fisher Scientific Inc	Purchase	\$15,001 - \$50,000	--
58	09/13/2023	Joint	UNP	Union Pacific Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
59	09/13/2023	Joint	UNH	Unitedhealth Group Inc	Purchase	\$15,001 - \$50,000	--
60	09/13/2023	Joint	VLO	Valero Energy Corporation Common Stock	Purchase	\$50,001 - \$100,000	--
61	09/13/2023	Joint	V	Visa Inc	Purchase	\$15,001 - \$50,000	--
62	09/14/2023	Joint	--	SEATTLE WASH DRAIN & WASTEWATER REV REF REV BDS <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/01/39</i>	Purchase	\$50,001 - \$100,000	--
63	09/18/2023	Joint	COST	Costco Wholesale Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
64	09/20/2023	Joint	--	TEXAS ST GO MOBILITY FD BDS A <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/34</i>	Purchase	\$50,001 - \$100,000	--
65	09/22/2023	Joint	--	UPTON CNTY TEX TAX NTS <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/25</i>	Purchase	\$50,001 - \$100,000	--
66	09/26/2023	Joint	--	JOHNSTON CNTY N C GO REF BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/24</i>	Purchase	\$50,001 - \$100,000	--
67	09/27/2023	Joint	--	WAXAHACHIE TEX INDPT SCH DIST ULTD TAX REF BDS <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/15/34</i>	Purchase	\$50,001 - \$100,000	--
68	09/28/2023	Joint	--	Macomb Inter Sch Dist Mich Unltd Tax Fo Bldg Site Bds <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/38</i>	Purchase	\$50,001 - \$100,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
69	09/28/2023	Joint	--	LUCAS CNTY OHIO LTD TAX VAR PURP IMPT GO BD ANTIC <i>Rate/Coupon: 4.375%</i> <i>Matures: 10/11/24</i>	Purchase	\$50,001 - \$100,000	--
70	10/03/2023	Joint	JPM	JP Morgan Chase & Co. Common Stock	Purchase	\$1,001 - \$15,000	--
71	10/03/2023	Joint	GS	Goldman Sachs Group, Inc. (The) Common Stock	Purchase	\$1,001 - \$15,000	--
72	10/03/2023	Joint	FI	Fiserv, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
73	10/03/2023	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Purchase	\$1,001 - \$15,000	--
74	10/03/2023	Joint	EMR	Emerson Electric Company	Purchase	\$1,001 - \$15,000	--
75	10/03/2023	Joint	EW	Edwards Lifesciences Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
76	10/03/2023	Joint	DE	Deere & Company Common Stock	Purchase	\$1,001 - \$15,000	--
77	10/03/2023	Joint	COST	Costco Wholesale Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
78	10/03/2023	Joint	STZ	Constellation Brands Inc	Purchase	\$1,001 - \$15,000	--
79	10/03/2023	Joint	COP	ConocoPhillips Common Stock	Purchase	\$1,001 - \$15,000	--
80	10/03/2023	Joint	CMCSA	Comcast Corporation - Class A Common Stock	Purchase	\$1,001 - \$15,000	--
81	10/03/2023	Joint	KO	Coca-Cola Company (The) Common Stock	Purchase	\$1,001 - \$15,000	--
82	10/03/2023	Joint	CMG	Chipotle Mexican Grill	Purchase	\$1,001 - \$15,000	--
83	10/03/2023	Joint	CVX	Chevron Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
84	10/03/2023	Joint	CAT	Caterpillar, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
85	10/03/2023	Joint	CSX	CSX Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
86	10/03/2023	Joint	BSX	Boston Scientific Corporation Common Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
87	10/03/2023	Joint	BLK	BlackRock, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
88	10/03/2023	Joint	BRK.B	Berkshire Hathaway Cl B	Purchase	\$1,001 - \$15,000	--
89	10/03/2023	Joint	AZO	AutoZone, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
90	10/03/2023	Joint	AMAT	Applied Materials, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
91	10/03/2023	Joint	AAPL	Apple Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
92	10/03/2023	Joint	ELV	Elevance Health, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
93	10/03/2023	Joint	AXP	American Express Company Common Stock	Purchase	\$1,001 - \$15,000	--
94	10/03/2023	Joint	AMZN	Amazon.com, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
95	10/03/2023	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Purchase	\$1,001 - \$15,000	--
96	10/03/2023	Joint	AMD	Advanced Micro Devices, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
97	10/03/2023	Joint	ADBE	Adobe Systems Inc	Purchase	\$1,001 - \$15,000	--
98	10/03/2023	Joint	ABT	Abbott Laboratories Common Stock	Purchase	\$1,001 - \$15,000	--
99	10/03/2023	Joint	--	NEW MEXICO FIN AUTH ST TRANSN REV REV REF BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2024</i>	Purchase	\$50,001 - \$100,000	--
100	10/03/2023	Joint	ZTS	Zoetis Inc. Class A Common Stock	Purchase	\$1,001 - \$15,000	--
101	10/03/2023	Joint	V	Visa Inc	Purchase	\$1,001 - \$15,000	--
102	10/03/2023	Joint	UNH	Unitedhealth Group Inc	Purchase	\$1,001 - \$15,000	--
103	10/03/2023	Joint	UNP	Union Pacific Corp	Purchase	\$1,001 - \$15,000	--
104	10/03/2023	Joint	TMO	Thermo Fisher Scientific Inc	Purchase	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
105	10/03/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
106	10/03/2023	Joint	--	T-Mobile US, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
107	10/03/2023	Joint	SBUX	Starbucks Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
108	10/03/2023	Joint	SHW	Sherwin-Williams Company (The) Common Stock	Purchase	\$1,001 - \$15,000	--
109	10/03/2023	Joint	CRM	Salesforce, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
110	10/03/2023	Joint	SPGI	S&P Global Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
111	10/03/2023	Joint	RTX	RTX Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
112	10/03/2023	Joint	PG	Procter & Gamble Company	Purchase	\$1,001 - \$15,000	--
113	10/03/2023	Joint	PEP	PepsiCo, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
114	10/03/2023	Joint	PANW	Palo Alto Networks, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
115	10/03/2023	Joint	NVDA	NVIDIA Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
116	10/03/2023	Joint	NKE	Nike Inc	Purchase	\$1,001 - \$15,000	--
117	10/03/2023	Joint	MSFT	Microsoft Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
118	10/03/2023	Joint	MA	Mastercard Inc	Purchase	\$1,001 - \$15,000	--
119	10/03/2023	Joint	LLY	Eli Lilly and Company Common Stock	Purchase	\$1,001 - \$15,000	--
120	10/03/2023	Joint	ICE	Intercontinental Exchange Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
121	10/03/2023	Joint	HON	Honeywell International Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
122	10/03/2023	Joint	HD	Home Depot, Inc. (The) Common Stock	Purchase	\$1,001 - \$15,000	--
123	10/03/2023	Joint	HLT	Hilton Worldwide Holdings Inc. Common Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
124	10/03/2023	Joint	LRCX	Lam Research Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
125	10/04/2023	Joint	--	COOK CNTY ILL CMNTY CONS SCH DIST NO 015 PALATINE GO BDS 2023A <i>Rate/Coupon: 5.25%</i> <i>Matures: 12/15/2039</i>	Purchase	\$50,001 - \$100,000	--
126	10/04/2023	Joint	--	Kaukauna Wis Antic Nts 2023 B <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/28</i>	Purchase	\$50,001 - \$100,000	--
127	10/04/2023	Joint	--	Purcell Oklahoma Public Works Auth <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2030</i>	Purchase	\$15,001 - \$50,000	--
128	10/06/2023	Joint	--	FORT LAUDERDALE FLA WTR & SWR REV BDS PROSPECT LAKE WTR TREATMENT 2023 <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/38</i>	Purchase	\$50,001 - \$100,000	--
129	10/12/2023	Joint	--	POCONO MTN PA SCH DIST GO NTS 2023 <i>Rate/Coupon: 4.5%</i> <i>Matures: 10/01/2026</i>	Purchase	\$50,001 - \$100,000	--
130	10/13/2023	Joint	--	Oklahoma Dev Fin Auth Health <i>Rate/Coupon: 0.41%</i> <i>Matures: 08/15/2031</i>	Purchase	\$250,001 - \$500,000	--
131	10/17/2023	Joint	--	University Oklahoma Revs <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2028</i>	Purchase	\$15,001 - \$50,000	--
132	10/18/2023	Joint	--	Tulsa County Oklahoma Indl Auth Edl <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2027</i>	Purchase	\$15,001 - \$50,000	--
133	10/25/2023	Joint	--	Nevada St Ltd Tax Go Cap Impt BDS <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/38</i>	Purchase	\$50,001 - \$100,000	--
134	10/26/2023	Joint	--	Oklahoma Transn Auth OK F/K/A <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i>	Purchase	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
135	10/27/2023	Joint	--	NEW YORK N Y CITY MUN WTR FIN AUTH WTR & SWR SYS REV REV BDS 2015 FF <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2039</i>	Purchase	\$50,001 - \$100,000	--
136	10/31/2023	Joint	--	CLEAR CREEK TEX INDPT SCH DIST UNLTD TAX REF BDS 2023 <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2038</i>	Purchase	\$50,001 - \$100,000	--
137	11/16/2023	Joint	--	Oklahoma Dev Fin Auth Lease RE <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2029</i>	Purchase	\$15,001 - \$50,000	--
138	12/05/2023	Joint	VLTO	Veralto Corp Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
139	12/06/2023	Joint	--	Cleveland County OK Educational FACS REV <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2032</i>	Purchase	\$100,001 - \$250,000	--
140	12/22/2023	Self	INST	Instructure Holdings Inc	Sale (Partial)	\$1,001 - \$15,000	--
141	12/22/2023	Self	FN	Fabrinet	Sale (Partial)	\$1,001 - \$15,000	--
142	12/22/2023	Self	FCFS	FirstCash Holdings, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
143	12/22/2023	Self	EXLS	ExlService Holdings, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
144	12/22/2023	Self	CTVA	Corteva Inc	Sale (Partial)	\$1,001 - \$15,000	--
145	12/22/2023	Self	CELH	Celsius Holdings Inc	Sale (Partial)	\$1,001 - \$15,000	--
146	12/22/2023	Self	SHOP	Shopify Inc	Sale (Partial)	\$1,001 - \$15,000	--
147	12/22/2023	Self	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
148	12/22/2023	Self	PODD	Insulet Corp	Sale (Partial)	\$1,001 - \$15,000	--
149	12/22/2023	Self	HUBB	Hubbell Inc Common Stock	Sale (Partial)	\$1,001 - \$15,000	--

**Part 4b. Transactions**

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Joint	--	Invesco Small-Cap Value Fund Class Y	Sale (Partial)	01/26/2023	\$250,001 - \$500,000	n/a
2	Joint	--	American Century Small-Cap Growth Fund Class I	Sale (Partial)	01/26/2023	\$250,001 - \$500,000	n/a
3	Joint	--	Columbia Overseas Value Advisor Class	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
4	Joint	--	DWS Enhanced Commodity Strategy Fund Institutional Class	Sale (Partial)	01/26/2023	\$500,001 - \$1,000,000	n/a
5	Joint	--	Goldman Sachs International Small-Cap Insights Fund Institute	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
6	Joint	--	Ishares TR Core S& P 500 ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
7	Joint	--	Ishares TR Russell 2000 ETF	Sale (Partial)	01/26/2023	\$500,001 - \$1,000,000	n/a
8	Joint	--	Ishares TR Global Reit ETF	Sale (Partial)	01/26/2023	\$250,001 - \$500,000	n/a
9	Joint	--	MFS International Growth Fund Class I	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
10	Joint	--	Northern Global Sustainability Index Fund Class I	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
11	Joint	--	Parnassus Mid-Cap Fund Institutional Class	Sale (Partial)	01/26/2023	\$500,001 - \$1,000,000	n/a
12	Joint	--	Royce Small-Cap Opportunity Fund Investment Class	Sale (Partial)	05/18/2023	\$250,001 - \$500,000	n/a
13	Joint	--	Schwab Strategic TR US Large-Cap ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
14	Joint	--	Schwab Strategic TR US Dividend Equity ETF	Sale (Partial)	01/26/2023	\$500,001 - \$1,000,000	n/a
15	Joint	--	Schwab Strategic TR US Reit ETF	Sale (Partial)	01/26/2023	\$250,001 - \$500,000	n/a
16	Joint	--	Vanguard Intl Equity Index FDS FTSE Euro PE ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
17	Joint	--	Vanguard Index FDS Vanguard Mi-Cap ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
18	Joint	--	Vanguard Index FDS Vanguard Growth ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
19	Joint	--	Vanguard Index FDS Vanguard Value ETF	Sale (Partial)	01/26/2023	\$1,000,001 - \$5,000,000	n/a
20	Joint	--	Invesco Small -Cap Value Fund Class Y	Sale (Partial)	09/13/2023	\$250,001 - \$500,000	n/a
21	Joint	--	Brown Capital Small Co Fund Institutional Class	Sale (Partial)	09/13/2023	\$250,001 - \$500,000	n/a
22	Joint	--	Dodge & Cox Stock Fund Class I	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
23	Joint	--	Fidelity Advisor Real Estate Income Fund Class I	Sale (Partial)	09/13/2023	\$250,001 - \$500,000	n/a
24	Joint	<u>OAYMX</u>	Oakmark Fund Advisor Class	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
25	Joint	--	Invesco Actively Managed Exchange Traded Commodity	Sale (Partial)	09/13/2023	\$250,001 - \$500,000	n/a
26	Joint	--	Ishares TR Core S & P Mid-Cap ETF	Sale (Partial)	09/13/2023	\$500,001 - \$1,000,000	n/a
27	Joint	--	Ishares TR Russell 1000 ETF	Sale (Partial)	10/03/2023	\$1,000,001 - \$5,000,000	n/a
28	Joint	--	John Hancock Exchange Traded Fund TR Multi Factor	Sale (Partial)	09/13/2023	\$500,001 - \$1,000,000	n/a
29	Joint	--	Morgan Stanley International Advantage Portfolio	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
30	Joint	--	American New Perspective Fund Class 2	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
31	Joint	--	American New World Fund Class F2	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
32	Joint	--	Pacer FDS TR Data Infrastructure Real Estate	Sale (Partial)	09/14/2023	\$250,001 - \$500,000	n/a
33	Joint	--	Schwab Strategic TR US Mid-Cap ETF	Sale (Partial)	09/13/2023	\$500,001 - \$1,000,000	n/a
34	Joint	--	American Small Cap World Fund Class F2	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
35	Joint	--	Vanguard Intl FD FTS Developed Mkts	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
36	Joint	--	Vanguard Intl Equity Index FDS FTSE Emerging	Sale (Partial)	09/13/2023	\$1,000,001 - \$5,000,000	n/a
37	Joint	<u>VLTO</u>	Veralto Corporation	Sale (Partial)	09/13/2023	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
38	Joint	--	Victory Market Neutral Income Fund Class	Sale (Partial)	09/12/2023	\$15,001 - \$50,000	n/a
39	Joint	--	Ishares TR Select Divid ETF FD	Sale (Partial)	09/13/2023	\$50,001 - \$100,000	n/a
40	Joint	--	Ishares TR Core S & P Mid-Cap ETF	Sale (Partial)	10/03/2023	\$100,001 - \$250,000	n/a
41	Joint	--	Ishares TR Russell 1000 ETF	Sale (Partial)	09/13/2023	\$100,001 - \$250,000	n/a
42	Joint	--	John Hancock Exchange Traded FD Multi Factor	Sale (Partial)	09/13/2023	\$100,001 - \$250,000	n/a
43	Joint	--	Schwab Strategic TR US Mid-Cap	Sale (Partial)	09/13/2023	\$50,001 - \$100,000	n/a
44	Joint	--	Van Eck Constant Maturity Commodity Index Fund Class	Sale (Partial)	09/13/2023	\$1,001 - \$15,000	n/a
45	Joint	--	Vanguard Specialized Funds Divid Appreciation	Sale (Partial)	09/13/2023	\$500,001 - \$1,000,000	n/a
46	Joint	--	Vanguard Intl FD FTS Developed Mkts ETF	Sale (Partial)	09/13/2023	\$100,001 - \$250,000	n/a
47	Joint	--	Victory Market Neutral Inc Fund Class I	Sale (Partial)	09/12/2023	\$100,001 - \$250,000	n/a
48	Joint	--	American High Income Municipal Bond Fund	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
49	Joint	--	American Bond Fund of America Class F2	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
50	Joint	<u>PSE</u>	Cohen & Steers Preferred Fund	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
51	Joint	<u>DODIX</u>	Dodge & Cox Income Fund	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
52	Joint	<u>JHBIX</u>	John Hancock Bond Fund Class I	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
53	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
54	Joint	<u>PREF</u>	Principal Spectrum Preferred Securities ETF	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
55	Joint	<u>VWIUX</u>	Vanguard Intermediate-Term Tax-Exempt Fd Admiral	Sale (Full)	09/12/2023	\$1,001 - \$15,000	n/a
56	Joint	--	Victory Market Neutral Inc Fund Class I	Sale (Full)	09/12/2023	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
57	Joint	--	American High Income Municipal Bond Fund Class 2	Sale (Full)	09/12/2023	\$250,001 - \$500,000	n/a
58	Joint	--	American Bond Fund of America Class F2	Sale (Full)	09/12/2023	\$50,001 - \$100,000	n/a
59	Joint	--	Cohen & Steers Prefer Securities and Inc Fund Class I	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
60	Joint	<u>DODIX</u>	Dodge & Cox Income Fund	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
61	Joint	--	Global X FDS US PFD ETF	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
62	Joint	--	John Hancock Bond Fund Class I	Sale (Full)	09/12/2023	\$50,001 - \$100,000	n/a
63	Joint	--	Invesco Exchange Traded TR II Var Rate PFD ETF	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
64	Joint	--	Ishares TR PFD & Inc Ome Secs ETF	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
65	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Sale (Full)	09/12/2023	\$250,001 - \$500,000	n/a
66	Joint	<u>FAFJX</u>	Nuveen Limited Term Municipal Bond Fd Cl C	Sale (Full)	09/12/2023	\$15,001 - \$50,000	n/a
67	Joint	--	Principal Spectrum Preferred and Capital Securities Inc	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
68	Joint	--	Vaneck ETF TR High Yield Mun Index ETF	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
69	Joint	--	Vanguard Intermediate E-Term Tax-Exempt Fund Admiral	Sale (Full)	09/12/2023	\$50,001 - \$100,000	n/a
70	Joint	--	Victory Market Neutral Income fund Class I	Sale (Full)	09/12/2023	\$100,001 - \$250,000	n/a
71	Joint	--	Bank Southn Calif NA San Diego	Sale (Full)	09/15/2023	\$100,001 - \$250,000	n/a
72	Spouse	--	FT TGT Div Dbl 4Q21 RE	Sale (Partial)	01/09/2023	\$15,001 - \$50,000	n/a
73	Spouse	--	First Trust TGT Divid Dbl Play	Purchase	01/11/2023	\$15,001 - \$50,000	n/a
74	Spouse	--	FT S&P TGT 25 1Q22 FERE	Sale (Partial)	02/22/2023	\$50,001 - \$100,000	n/a
75	Spouse	--	FT Cap Strg Op 22 Fere	Sale (Partial)	02/22/2023	\$50,001 - \$100,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
76	Spouse	--	First Trust Cap Strength Oppty 28 SA FE RE	Purchase	05/31/2023	\$50,001 - \$100,000	n/a
77	Spouse	--	First Trust S&P Div Arst TGT 25 2Q23 MO FE RE	Purchase	04/30/2023	\$50,001 - \$100,000	n/a
78	Spouse	--	JPMorgan Liquid Assets Money Mkt Premier CL	Purchase	02/28/2023	\$50,001 - \$100,000	n/a
79	Joint	--	Bank Amer NA Charlotte NC CTF	Sale (Full)	12/14/2023	\$100,001 - \$250,000	n/a
80	Joint	--	US Bk Natl Assn Ins TL CTF	Sale (Full)	12/14/2023	\$100,001 - \$250,000	n/a
81	Self	--	Oklahoma St Dev Fin Auth Hlths Rev Adj	Sale (Full)	10/23/2023	\$50,001 - \$100,000	n/a
82	Spouse	--	First Trust S & P Div Arst TGT 25	Purchase	10/10/2023	\$50,001 - \$100,000	n/a
83	Spouse	--	First Trust S & P Div Arst 25	Sale (Full)	10/11/2023	\$50,001 - \$100,000	n/a
84	Spouse	--	Catalyst Millburn Hedge Strategy	Sale (Full)	12/27/2023	\$15,001 - \$50,000	n/a
85	Spouse	--	First Trust S&P Div Arst TGT 25	Sale (Full)	12/27/2023	\$50,001 - \$100,000	n/a
86	Spouse	--	First Trust Cap Strength Oppty 25 SA	Sale (Full)	12/27/2023	\$15,001 - \$50,000	n/a
87	Self	--	First Trust TGT Divid Dbl Play	Sale (Full)	01/11/2023	\$1,001 - \$15,000	n/a
88	Self	--	First Trust TGT Divid Dbl Play	Purchase	01/11/2023	\$1,001 - \$15,000	n/a
89	Self	--	Humphreys Fund I REIT	Purchase	11/07/2023	\$250,001 - \$500,000	n/a
90	Self	--	Cavanal Hill Govt Sec Mmkt-Sel#0084	Purchase	12/31/2023	\$1,000,001 - \$5,000,000	n/a
91	Self	--	Cleveland Cnty OK Eductnl Facs Rev	Purchase	12/14/2023	\$100,001 - \$250,000	n/a
92	Self	--	Oklahoma Dev Fin Auth Lease Rev	Purchase	11/30/2023	\$15,001 - \$50,000	n/a
93	Self	--	Oklahoma St Dev Fin Auth Hlths Rev	Purchase	10/06/2023	\$250,001 - \$500,000	n/a
94	Self	--	Oklahoma St Dev Fin Auth Hlths Rev	Purchase	11/29/2023	\$250,001 - \$500,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
95	Self	--	Oklahoma St Turnpike Auth Rev	Purchase	10/30/2023	\$15,001 - \$50,000	n/a
96	Self	--	Purcell OK Public Wks Auth Sal Rev	Purchase	10/06/2023	\$15,001 - \$50,000	n/a
97	Self	--	Tulsa Cnty OK Indl Auth Educatn Rev	Purchase	10/20/2023	\$15,001 - \$50,000	n/a
98	Self	--	Univ OK Rev	Purchase	10/19/2023	\$15,001 - \$50,000	n/a
99	Self	--	Cavanal Hill Govt Sec Mmkt-Sel #0084	Sale (Full)	12/31/2023	\$1,000,001 - \$5,000,000	n/a

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

### Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2022	Joint	Mortgage	0	3.95% (15 years)	\$1,000,001 - \$5,000,000	Regent Bank Tulsa, OK	n/a

### Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

*Only required if you are a candidate or this is your first report:* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*

# **EXHIBIT B**

# Financial Disclosures

## Annual Report for Calendar 2022

The Honorable Markwayne Mullin (Mullin, Markwayne)

 Filed 08/11/2023 @ 11:53 AM

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
  - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- 

I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Arvest Bank</b> (Lowell, Arkansas) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2	<b>Computershare Trust Company NA</b>	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
3	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
4	<b>Dreyfus Government Cash Mgmt Wealth Shares</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
5	<b>American Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6	<b>Cohen &amp; Steers Preferred</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
7	<b>Invesco Exchange-Traded FD</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
8	<b>Ishares TR PFD &amp; Income SECS ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9	<b>Lord Abbett Short Duration Income Fund Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
10	<b>Nuveen High Yield Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends,	\$201 - \$1,000
11	<b>Nuveen Limited Term Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
12	<b>Pimco ETF TR Enhanced Short MAT Active ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
13	<b>Principal Spectrum Preferred AND</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
14	<b>Vaneck ETF TR High Yield Mun Index ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
15	<b>Vanguard BD Index FDS Vanguard Short Term BD ETF</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
16	<b>Victory Market Neutral Income Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
17	<b>Northwestern Mutual FDIC Insured</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Interest,	\$5,001 - \$15,000
18	<b>Dreyfus Government Cash Mgmt Wealth Shares</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
19	<b>Brown Capital Small Company Fund Institutional Class</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
20	<b><u>DODGX</u> - Dodge &amp; Cox Stock Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
21	<b>Fidelity Advisor Real Estate Income</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
22	<b>American Growth Fund of America</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
23	<b>Oakmark International Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
24	<b>Invesco Actively Managed Exchange-Traded Commodity FD Optimum Divsf Commodity</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
25	<b>Ishares TR Select Divid ETF FD</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$15,001 - \$50,000
26	<b>Ishares TR Core S&amp;P Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
27	<b>Ishares TR Russell 1000 ETF</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$15,001 - \$50,000
28	<b>Ishares TR Core S&amp;P Small-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
29	<b>John Hancock Exchange Traded FD</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
30	<b>Morgan Stanley International Advantage Portfolio Class I</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
31	<b>American New Perspective Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
32	<b>American New World Fund Class F2</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
33	<b>Pacers FDS TR Data Infrastructure Real</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
34	<b>Pimco Commodities Plus Strategy Fund Class I2</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
35	<b>T. Rowe Price Blue Chip Growth Fund 5</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
36	<b>Royce Small-Cap Opportunity Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
37	<b>Schwab Strategic TR US Mid-Cap</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
38	<b>American Small-Cap World Fund Class F2</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
39	<b>Van Eck Constant Maturity Commodity Index Fund Class Y</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$50,001 - \$100,000
40	<b>Vanguard Specialized Funds Divid</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
41	<b>Vanguard Intl FD FTSE Developed Mkts</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
42	<b>Vanguard Intl Equity Index FDS FTSE</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
43	<b>Vanguard Index FDS Real Estate Index FD</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
44	<b>Victory Market Neutral Income Fund</b>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
45	<b>Northwestern Mutual</b>	Life Insurance Variable	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
46	<b><u>ALB</u> - Albemarle Corp</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
47	<b><u>AIMC</u> - Altra Industrial Motion Corp. - Common Stock</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
48	<b><u>AXP</u> - American Express Company</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
49	<b>FT S&amp;P TGT 25 1Q22 FERE</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
50	<b>FT Cap STRG OP 22 FERE</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
51	<b><u>HPQ</u> - HP Inc</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
52	<b><u>INVH</u> - Invitation Homes Inc</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
53	<b><u>M</u> - Macy's Inc</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
54	<b><u>NTR</u> - Nutrien Ltd</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
55	<b><u>ORCL</u> - Oracle Corp</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
56	<b>PWR - Quanta Services, Inc.</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
57	<b>TTEK - Tetra Tech Inc</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
58	<b>FT Cap Strgth OPP 20 RE</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
59	<b>FT TGT DIV DBL 4Q21 RE</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
60	<b>OKE - Oneok Inc</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
61	<b>Mullin Realty LLC</b> <i>Description: Florida Residential Property (Englewood, FL)</i>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
62	<b>The Christie Renee Mullin Insurance Trust</b> <i>Provider: Provident Trust Group</i>	Life Insurance Whole	Spouse	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
63	<b>DODIX - Dodge &amp; Cox Income Fund - Class I</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
64	<b>Global X FDS U S PFD ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
65	<b>JHBIX - John Hancock Bond Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
66	<b>American High Income Municipal</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$5,001 - \$15,000
67	<b>Fidelity Advisor Limited Term</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$2,501 - \$5,000
68	<b>Nuveen High Yield Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$5,001 - \$15,000
69	<b>Nuveen Limited Term Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$2,501 - \$5,000
70	<b>Vaneck ETF TR High Yield Mun Index ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
71	<b>Vanguard Intermediate Term Tax Exempt Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Other, (Tax-Exempt Dividends)	\$2,501 - \$5,000 Other \$4,225.60
72	<b>American High Income Municipal Bond Fund Class F2</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
73	<b>Vanguard Intermediate Term Tax-Exempt Fund Admiral</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
74	<b>Invesco Small-Cap Value Fund Class Y</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
75	<b>American Century Small-Cap Growth Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
76	<b>Columbia Overseas Value Fund Advisor Class</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
77	<b>DWS Enhanced Commodity Strategy Fund Institutional Class</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
78	<b>Goldman Sachs International Small-Cap Insights Fund Institutional Class</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
79	<b>MFS International Growth Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
80	<b>Northern Global Sustainability Index Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
81	<b>Parnassus Mid-Cap Fund Institutional Class</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
82	<b>Victory Market Neutral Income Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
83	<b>Ishares TR Core S&amp;P 500 ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
84	<b>Ishares TR Russell 2000 ETF</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
85	<b>Ishares TR Global REIT ETF</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
86	<b>Schwab Strategic TR US Dividend Equity ETF</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
87	<b>Schwab Strategic TR US REIT ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
88	<b>Vanguard Index FDS Vanguard Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
89	<b>Vanguard Index FDS Vanguard Growth ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
90	<b>Vanguard Index FDS Vanguard Value ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
91	<b>Mullin Ranch LLC</b> <i>Description: Cattle Ranch (Westville, OK)</i>	Farm	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
92	<b>US Bank National Association</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Interest,	None (or less than \$201)
93	<b>JP Morgan Chase Bank NA</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
94	<b>Amplia Therapeutics Ltd Fully Paid</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
95	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
96	<b>LPL Financial</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
97	<b>Goldman Sachs Bank USA</b>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
98	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
99	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
100	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
101	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
102	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
103	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)
104	<b>Mullin Family Holdco LLC</b> <i>Company:</i> Mullin Family Holdco LLC (Westville, OK) <i>Description:</i> Equity investment in COP Hometown Parent LLC, a home services company.	Business Entity Limited Liability Company (LLC)	Child	\$500,001 - \$1,000,000	None,	None (or less than \$201)

Asset	Asset Type	Owner	Value	Income Type	Income
105 <b>Rowan's Restaurant LLC</b> <i>Company:</i> Rowan's Restaurant LLC (Stillwell, OK) <i>Description:</i> Restaurant in Stillwell, Oklahoma.	Business Entity Limited Liability Company (LLC)	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
106 <b>Mullin Properties LLC</b> <i>Company:</i> Mullin Properties LLC (Westville, OK) <i>Description:</i> Property and Land in Oklahoma.	Business Entity Limited Liability Company (LLC)	Joint	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$201 - \$1,000
107 <b>Mullin Realty LLC</b> <i>Description:</i> Washington DC Residential Property (Washington, DC)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	--	92494500 COP Hometown/Mullin Indemnity	Sale (Full)	12/28/2022	\$1,000,001 - \$5,000,000	n/a
2	Spouse	<u>ALB</u>	Albemarle Corp	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
3	Spouse	--	American Express CO	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
4	Spouse	--	Aptiv PLC	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
5	Spouse	<u>AQUA</u>	Evoqua Water Technologies Corp. Common Stock	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
6	Spouse	--	30315M298 First Trust S&P Div Arst TGT 25 4Q20 MO FE RE	Sale (Partial)	01/07/2022	\$50,001 - \$100,000	n/a
7	Spouse	--	30315Y730 First Trust Cap Strength Oppty 16 SA FE RE	Sale (Partial)	02/18/2022	\$50,001 - \$100,000	n/a
8	Spouse	<u>GDDY</u>	GoDaddy Inc. Class A Common Stock	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a
9	Spouse	<u>HPQ</u>	HP Inc	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
10	Spouse	<u>IAA</u>	IAA, Inc. Common Stock	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a
11	Spouse	<u>INVH</u>	Invitation Homes Inc	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
12	Spouse	<u>M</u>	Macy's Inc	Sale (Partial)	01/05/2022	\$15,001 - \$50,000	n/a
13	Spouse	<u>NTR</u>	Nutrien Ltd	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
14	Spouse	<u>ORCL</u>	Oracle Corp	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
15	Spouse	<u>PWR</u>	Quanta Services	Sale (Partial)	01/05/2022	\$15,001 - \$50,000	n/a
16	Spouse	--	SITE - Siteone Landscape Supply Inc	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
17	Spouse	--	SPSC - SPS Commerce, Inc. - Delaware	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a
18	Spouse	<u>TTEK</u>	Tetra Tech Inc	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
19	Spouse	<u>ULTA</u>	Ulta Beauty Inc	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
20	Spouse	<u>VSTO</u>	Vista Outdoor Inc	Sale (Partial)	11/18/2022	\$1,001 - \$15,000	n/a
21	Spouse	<u>WSC</u>	WillScot Mobile Mini Holdings Corp. - Class A Comm	Sale (Partial)	01/05/2022	\$15,001 - \$50,000	n/a
22	Spouse	<u>ZBRA</u>	Zebra Technologies Corporation - Class A Common St	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a
23	Spouse	--	30321U235 First Trust FT Cap Strgth Opp 20 SA RE	Sale (Partial)	12/27/2022	\$15,001 - \$50,000	n/a
24	Spouse	--	30321Y435 First Trust Content Leaders 23 MO RE	Sale (Partial)	12/29/2022	\$15,001 - \$50,000	n/a
25	Spouse	--	30321U235 First Trust FT Cap Strgth Opp 20 SA RE	Sale (Partial)	12/29/2022	\$1,001 - \$15,000	n/a
26	Joint	--	Fidelity Advisor LIM Muni Income Fund Class I	Sale (Partial)	04/11/2022	\$1,000,001 - \$5,000,000	n/a
27	Joint	--	Lord Abbett Short DU OME Fund Class I	Sale (Full)	04/11/2022	\$1,000,001 - \$5,000,000	n/a
28	Joint	--	Nuveen Limited-Term Bond Fund Class I	Sale (Partial)	04/11/2022	\$1,000,001 - \$5,000,000	n/a
29	Joint	--	Pimco ETF TR Enhance T Active ETF	Sale (Partial)	04/11/2022	\$1,000,001 - \$5,000,000	n/a
30	Joint	--	Vanguard Limited Tax Und Admiral Shares	Sale (Partial)	04/11/2022	\$1,000,001 - \$5,000,000	n/a
31	Joint	<u>CBHIX</u>	Victory Market Neutral Income Fund - Class I	Sale (Full)	05/10/2022	\$100,001 - \$250,000	n/a
32	Joint	--	Brown Capital Small ND Institutional Class	Sale (Full)	12/22/2022	\$250,001 - \$500,000	n/a
33	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
34	Joint	--	Fidelity Advisor Real Estate Income Fund Class I	Sale (Full)	12/22/2022	\$250,001 - \$500,000	n/a
35	Joint	--	American Growth Fund A Class F2	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
36	Joint	--	Oakmark International ISOR Class	Sale (Partial)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
37	Joint	--	Invesco Actively Manage-Traded Commodity FD Optimum Divsf Commodity Strategy NO	Sale (Full)	12/22/2022	\$100,001 - \$250,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
38	Joint	--	Ishares TR Select DI	Sale (Partial)	12/22/2022	\$500,001 - \$1,000,000	n/a
39	Joint	--	Ishares TR Core S&P F	Sale (Partial)	12/22/2022	\$500,001 - \$1,000,000	n/a
40	Joint	--	Ishares TR Russell 1	Sale (Partial)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
41	Joint	--	Ishares TR Core S&P ETF	Sale (Partial)	12/22/2022	\$250,001 - \$500,000	n/a
42	Joint	--	John Hancock Exchange D TR Multifactor Mid Cap ETF	Sale (Partial)	12/22/2022	\$500,001 - \$1,000,000	n/a
43	Joint	--	Morgan Stanley Inter Dvantage Portfolio Class I	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
44	Joint	--	American New Perspective Class F2	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
45	Joint	--	American New World F2	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
46	Joint	--	Pacer FDS TR Data IN RE Real Estate ETF	Sale (Full)	12/22/2022	\$100,001 - \$250,000	n/a
47	Joint	--	Pimco Commodities PL Y Fund Class I2	Sale (Full)	12/22/2022	\$100,001 - \$250,000	n/a
48	Joint	--	T. Rowe Price Blue C Fund	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
49	Joint	--	Royce Small-Cap Oppo ND Investments Class	Sale (Full)	12/22/2022	\$250,001 - \$500,000	n/a
50	Joint	--	Schwab Strategic TR ETF	Sale (Partial)	12/22/2022	\$500,001 - \$1,000,000	n/a
51	Joint	--	American Small-Cap W Class F2	Sale (Full)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
52	Joint	--	Van Eck Constant Mat Odity Index Fund Class Y	Sale (Partial)	12/22/2022	\$100,001 - \$250,000	n/a
53	Joint	--	Vanguard Int'l FD FTS D MKTS ETF	Sale (Partial)	12/22/2022	\$1,000,001 - \$5,000,000	n/a
54	Joint	--	Vanguard Intl Equity FTSE Emerging MKTS ETF	Sale (Partial)	12/22/2022	\$500,001 - \$1,000,000	n/a
55	Joint	--	Vanguard Index FDS R Index FD ETF	Sale (Full)	12/22/2022	\$100,001 - \$250,000	n/a
56	Spouse	--	First Trust Cap Strength Oppty	Purchase	12/29/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
57	Spouse	--	First Trust S&P Div Arst TGT	Purchase	12/29/2022	\$15,001 - \$50,000	n/a
58	Self	--	First Trust FT Cap Strgth OPP	Purchase	12/29/2022	\$1,001 - \$15,000	n/a
59	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
60	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
61	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
62	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
63	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
64	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
65	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
66	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
67	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
68	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
69	Joint	<u>SRVR</u>	Pacer Benchmark Data & Infrastructure Real Estate	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
70	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
71	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
72	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
73	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
74	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
75	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	01/25/2022	\$100,001 - \$250,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
76	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
77	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
78	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
79	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
80	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
81	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	01/25/2022	\$15,001 - \$50,000	n/a
82	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	01/25/2022	\$100,001 - \$250,000	n/a
83	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	01/25/2022	\$50,001 - \$100,000	n/a
84	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
85	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
86	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	02/22/2022	\$100,001 - \$250,000	n/a
87	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
88	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
89	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
90	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
91	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
92	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
93	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
94	Joint	<u>SRVR</u>	Pacer Benchmark Data & Infrastructure Real Estate	Purchase	02/22/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
95	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
96	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
97	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
98	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
99	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
100	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	02/22/2022	\$100,001 - \$250,000	n/a
101	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
102	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
103	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
104	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
105	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
106	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
107	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	02/22/2022	\$50,001 - \$100,000	n/a
108	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	02/22/2022	\$15,001 - \$50,000	n/a
109	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
110	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
111	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
112	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
113	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd CI Y	Purchase	03/02/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
114	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
115	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
116	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
117	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
118	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
119	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
120	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
121	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
122	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
123	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
124	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
125	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
126	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
127	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
128	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
129	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
130	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
131	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
132	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	03/02/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
133	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	03/03/2022	\$15,001 - \$50,000	n/a
134	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
135	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
136	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	03/04/2022	\$50,001 - \$100,000	n/a
137	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
138	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
139	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
140	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
141	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
142	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
143	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
144	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
145	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
146	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
147	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
148	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
149	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	03/04/2022	\$50,001 - \$100,000	n/a
150	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
151	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	03/04/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
152	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
153	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
154	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
155	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	03/04/2022	\$1,001 - \$15,000	n/a
156	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
157	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	03/04/2022	\$15,001 - \$50,000	n/a
158	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
159	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
160	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
161	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
162	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
163	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
164	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
165	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
166	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
167	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
168	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
169	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
170	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	03/14/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
171	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
172	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
173	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
174	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
175	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
176	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
177	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
178	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
179	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
180	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
181	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	03/14/2022	\$1,001 - \$15,000	n/a
182	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	03/14/2022	\$15,001 - \$50,000	n/a
183	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	03/15/2022	\$1,001 - \$15,000	n/a
184	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
185	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
186	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	03/23/2022	\$50,001 - \$100,000	n/a
187	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
188	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
189	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd CI Y	Purchase	03/23/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
190	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	03/23/2022	\$50,001 - \$100,000	n/a
191	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
192	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
193	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
194	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
195	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
196	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	03/23/2022	\$50,001 - \$100,000	n/a
197	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
198	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
199	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
200	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
201	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	03/23/2022	\$100,001 - \$250,000	n/a
202	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
203	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
204	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	03/23/2022	\$1,001 - \$15,000	n/a
205	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
206	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	03/23/2022	\$50,001 - \$100,000	n/a
207	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
208	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	03/23/2022	\$50,001 - \$100,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
209	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	03/23/2022	\$15,001 - \$50,000	n/a
210	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	04/04/2022	\$1,001 - \$15,000	n/a
211	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
212	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
213	Joint	<u>VIG</u>	Dividend Appreciation ETF Vanguard	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
214	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	04/04/2022	\$1,001 - \$15,000	n/a
215	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
216	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
217	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
218	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
219	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
220	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	04/04/2022	\$1,001 - \$15,000	n/a
221	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
222	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
223	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
224	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
225	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
226	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
227	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
228	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
229	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
230	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
231	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
232	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	04/04/2022	\$1,001 - \$15,000	n/a
233	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	04/04/2022	\$50,001 - \$100,000	n/a
234	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	04/04/2022	\$15,001 - \$50,000	n/a
235	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
236	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/05/2022	\$50,001 - \$100,000	n/a
237	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/05/2022	\$50,001 - \$100,000	n/a
238	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
239	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
240	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
241	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
242	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
243	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	04/05/2022	\$50,001 - \$100,000	n/a
244	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
245	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
246	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	04/05/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
247	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
248	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
249	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
250	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
251	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	04/05/2022	\$100,001 - \$250,000	n/a
252	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
253	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
254	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
255	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
256	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	04/05/2022	\$50,001 - \$100,000	n/a
257	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	04/05/2022	\$1,001 - \$15,000	n/a
258	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	04/05/2022	\$50,001 - \$100,000	n/a
259	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	04/05/2022	\$15,001 - \$50,000	n/a
260	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
261	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
262	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
263	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
264	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
265	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	04/06/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
266	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
267	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
268	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
269	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
270	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
271	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
272	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
273	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
274	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
275	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
276	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	04/06/2022	\$50,001 - \$100,000	n/a
277	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
278	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
279	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
280	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
281	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
282	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	04/06/2022	\$1,001 - \$15,000	n/a
283	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	04/06/2022	\$15,001 - \$50,000	n/a
284	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	04/06/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
285	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
286	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
287	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
288	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	04/26/2022	\$50,001 - \$100,000	n/a
289	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
290	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
291	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	04/26/2022	\$50,001 - \$100,000	n/a
292	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
293	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
294	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
295	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
296	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
297	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
298	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
299	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	04/26/2022	\$50,001 - \$100,000	n/a
300	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
301	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
302	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	04/26/2022	\$50,001 - \$100,000	n/a
303	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	04/26/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
304	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	04/26/2022	\$50,001 - \$100,000	n/a
305	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
306	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	04/26/2022	\$15,001 - \$50,000	n/a
307	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
308	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
309	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
310	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
311	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
312	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
313	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	04/27/2022	\$50,001 - \$100,000	n/a
314	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
315	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
316	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
317	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
318	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
319	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
320	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
321	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
322	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	04/27/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
323	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
324	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
325	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
326	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	04/27/2022	\$1,001 - \$15,000	n/a
327	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	04/27/2022	\$15,001 - \$50,000	n/a
328	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
329	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
330	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
331	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
332	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
333	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
334	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
335	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
336	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
337	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
338	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
339	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
340	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
341	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/02/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
342	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
343	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
344	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/02/2022	\$100,001 - \$250,000	n/a
345	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
346	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
347	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	05/02/2022	\$1,001 - \$15,000	n/a
348	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
349	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/02/2022	\$50,001 - \$100,000	n/a
350	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/02/2022	\$15,001 - \$50,000	n/a
351	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/03/2022	\$50,001 - \$100,000	n/a
352	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/03/2022	\$15,001 - \$50,000	n/a
353	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
354	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
355	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
356	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
357	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
358	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
359	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
360	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/04/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
361	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
362	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
363	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
364	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/04/2022	\$50,001 - \$100,000	n/a
365	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
366	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
367	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
368	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
369	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
370	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
371	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/04/2022	\$15,001 - \$50,000	n/a
372	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/04/2022	\$1,001 - \$15,000	n/a
373	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
374	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/05/2022	\$50,001 - \$100,000	n/a
375	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/05/2022	\$100,001 - \$250,000	n/a
376	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
377	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
378	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/05/2022	\$50,001 - \$100,000	n/a
379	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/05/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
380	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
381	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
382	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
383	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
384	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
385	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/05/2022	\$50,001 - \$100,000	n/a
386	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
387	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/05/2022	\$100,001 - \$250,000	n/a
388	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/05/2022	\$50,001 - \$100,000	n/a
389	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
390	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
391	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
392	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
393	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/05/2022	\$1,001 - \$15,000	n/a
394	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
395	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/05/2022	\$15,001 - \$50,000	n/a
396	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
397	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
398	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/09/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
399	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
400	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
401	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
402	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
403	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
404	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
405	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
406	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
407	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
408	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
409	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
410	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
411	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
412	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
413	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
414	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
415	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/09/2022	\$1,001 - \$15,000	n/a
416	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/09/2022	\$15,001 - \$50,000	n/a
417	Joint	<u>CBHIX</u>	Victory Market Neutral Income Fund - Class I	Purchase	05/10/2022	\$100,001 - \$250,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
418	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
419	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
420	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
421	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
422	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
423	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
424	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
425	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
426	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
427	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
428	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
429	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
430	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
431	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
432	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
433	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
434	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
435	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
436	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/12/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
437	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/12/2022	\$1,001 - \$15,000	n/a
438	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
439	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/12/2022	\$15,001 - \$50,000	n/a
440	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
441	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
442	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
443	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/18/2022	\$50,001 - \$100,000	n/a
444	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
445	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
446	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
447	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
448	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
449	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
450	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
451	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
452	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
453	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
454	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
455	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/18/2022	\$50,001 - \$100,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
456	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/18/2022	\$250,001 - \$500,000	n/a
457	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
458	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	05/18/2022	\$50,001 - \$100,000	n/a
459	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
460	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
461	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
462	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
463	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/18/2022	\$100,001 - \$250,000	n/a
464	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/18/2022	\$15,001 - \$50,000	n/a
465	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	05/20/2022	\$1,001 - \$15,000	n/a
466	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
467	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
468	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
469	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
470	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
471	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
472	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/20/2022	\$50,001 - \$100,000	n/a
473	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
474	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/20/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
475	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
476	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
477	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
478	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	05/20/2022	\$100,001 - \$250,000	n/a
479	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
480	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
481	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/20/2022	\$15,001 - \$50,000	n/a
482	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/20/2022	\$50,001 - \$100,000	n/a
483	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/20/2022	\$1,001 - \$15,000	n/a
484	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/23/2022	\$50,001 - \$100,000	n/a
485	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
486	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
487	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	05/25/2022	\$1,001 - \$15,000	n/a
488	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
489	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
490	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	05/25/2022	\$50,001 - \$100,000	n/a
491	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	05/25/2022	\$1,001 - \$15,000	n/a
492	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
493	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	05/25/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
494	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
495	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
496	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	05/25/2022	\$50,001 - \$100,000	n/a
497	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	05/25/2022	\$1,001 - \$15,000	n/a
498	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
499	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	05/25/2022	\$15,001 - \$50,000	n/a
500	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
501	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
502	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
503	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
504	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
505	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
506	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
507	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
508	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
509	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
510	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
511	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
512	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
513	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
514	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
515	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
516	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
517	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
518	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
519	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
520	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/02/2022	\$15,001 - \$50,000	n/a
521	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	06/02/2022	\$1,001 - \$15,000	n/a
522	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
523	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
524	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/08/2022	\$50,001 - \$100,000	n/a
525	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
526	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
527	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
528	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
529	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
530	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
531	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
532	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
533	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	06/08/2022	\$50,001 - \$100,000	n/a
534	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
535	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
536	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
537	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
538	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	06/08/2022	\$1,001 - \$15,000	n/a
539	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/08/2022	\$15,001 - \$50,000	n/a
540	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
541	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
542	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
543	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
544	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
545	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
546	Joint	<u>PCLPX</u>	Pimco Commoditiesplus Strategy Fund Class I-2	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
547	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
548	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
549	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
550	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	06/10/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
551	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
552	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
553	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	06/10/2022	\$50,001 - \$100,000	n/a
554	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
555	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
556	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/10/2022	\$1,001 - \$15,000	n/a
557	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
558	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/10/2022	\$15,001 - \$50,000	n/a
559	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	06/16/2022	\$1,001 - \$15,000	n/a
560	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
561	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
562	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
563	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	06/16/2022	\$1,001 - \$15,000	n/a
564	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
565	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/16/2022	\$1,001 - \$15,000	n/a
566	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
567	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
568	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
569	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	06/16/2022	\$50,001 - \$100,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
570	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
571	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
572	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
573	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
574	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/16/2022	\$15,001 - \$50,000	n/a
575	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	06/16/2022	\$1,001 - \$15,000	n/a
576	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
577	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
578	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
579	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
580	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
581	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
582	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
583	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
584	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/17/2022	\$50,001 - \$100,000	n/a
585	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
586	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	06/17/2022	\$15,001 - \$50,000	n/a
587	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
588	Joint	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
589	Joint	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	06/22/2022	\$50,001 - \$100,000	n/a
590	Joint	<u>VIG</u>	Vanguard Div Appreciation ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
591	Joint	<u>CMCYX</u>	Van Eck Cm Commodity Index Fd Cl Y	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
592	Joint	<u>SMCFX</u>	Smallcap World Fund Inc. Class F-2 Shs	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
593	Joint	<u>SCHM</u>	Schwab U.S. Mid Cap ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
594	Joint	<u>RYPNX</u>	Royce Opportunity Fund Investment Class	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
595	Joint	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
596	Joint	<u>SRVR</u>	Pacer Benchmark & Infrastructure Real Estate ETF	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
597	Joint	<u>NFFFX</u>	New World Fund Inc. Class F-2 Shs	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
598	Joint	<u>ANWFX</u>	New Perspective Fund Class F-2 Shares	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
599	Joint	<u>MFAIX</u>	Morgan Stanley Insti Fd International Advantage	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
600	Joint	<u>JHMM</u>	John Hancock Multifactor Mid Cap ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
601	Joint	<u>IJR</u>	iShares Core S&P Small-Cap ETF	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
602	Joint	<u>IWB</u>	iShares Russell 1000 ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
603	Joint	<u>IJH</u>	iShares Core S&P Mid-Cap ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
604	Joint	<u>DVY</u>	iShares Select Dividend ETF	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
605	Joint	<u>PDBC</u>	Invesco Optimum Yield Diversified Commodity Strate	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
606	Joint	<u>OAYIX</u>	Oakmark International Fund Advisor Class	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
607	Joint	<u>GFFFX</u>	The Growth Fund of America Class F-2 Shares	Purchase	06/22/2022	\$1,001 - \$15,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
608	Joint	<u>FRIRX</u>	Fidelity Advisor Real Estate Income Fund Class	Purchase	06/22/2022	\$1,001 - \$15,000	n/a
609	Joint	<u>DODGX</u>	Dodge & Cox Stock Fund - Class I	Purchase	06/22/2022	\$15,001 - \$50,000	n/a
610	Joint	<u>BCSSX</u>	Brown Capital Management Small Company Fd Inst C	Purchase	06/23/2022	\$1,001 - \$15,000	n/a
611	Joint	<u>VTV</u>	Vanguard Value ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
612	Joint	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
613	Joint	<u>VO</u>	Vanguard Mid-Cap ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
614	Joint	<u>VGK</u>	Vanguard FTSEEuropean ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
615	Joint	<u>SCHH</u>	Schwab U.S. REIT ETF	Purchase	12/22/2022	\$100,001 - \$250,000	n/a
616	Joint	<u>SCHD</u>	Schwab US Dividend Equity ETF	Purchase	12/22/2022	\$500,001 - \$1,000,000	n/a
617	Joint	<u>SCHX</u>	Schwab U.S. Large-Cap ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
618	Joint	<u>PFPMX</u>	Parnassus Mid Cap Fund - Institutional Shares	Purchase	12/22/2022	\$500,001 - \$1,000,000	n/a
619	Joint	<u>NSRIX</u>	Northern Global Sustainability Index Fund	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
620	Joint	<u>MQGIX</u>	MFS International Growth Fund - Class I	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
621	Joint	<u>REET</u>	iShares Trust iShares Global REIT ETF	Purchase	12/22/2022	\$250,001 - \$500,000	n/a
622	Joint	<u>IWM</u>	iShares Russell 2000 ETF	Purchase	12/22/2022	\$250,001 - \$500,000	n/a
623	Joint	<u>IVV</u>	iShares Core S&P 500 ETF	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
624	Joint	<u>GICIX</u>	Goldman Sachs Intl Small Cap Insights Fd Inst	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a
625	Joint	<u>SKIRX</u>	Dws Enhanced Commodity Strategy Fund - Class Ins	Purchase	12/22/2022	\$500,001 - \$1,000,000	n/a
626	Joint	<u>COSVX</u>	Columbia Overseas Value Fund Class Adv	Purchase	12/22/2022	\$1,000,001 - \$5,000,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
627	Joint	<u>ANONX</u>	American Century Small Cap Growth Fund - I Class	Purchase	12/22/2022	\$250,001 - \$500,000	n/a
628	Joint	<u>VSMIX</u>	Invesco Small Cap Value Class Y	Purchase	12/22/2022	\$250,001 - \$500,000	n/a
629	Joint	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	01/24/2022	\$1,000,001 - \$5,000,000	n/a
630	Joint	<u>MINT</u>	PIMCO Enhanced Short Maturity Active Exchange-Trad	Purchase	01/24/2022	\$1,000,001 - \$5,000,000	n/a
631	Joint	<u>VMLUX</u>	Vanguard Limited-Term Tax-Exempt Fd Admiral Shs	Purchase	01/25/2022	\$1,000,001 - \$5,000,000	n/a
632	Joint	<u>FLTRX</u>	Nuveen Flagship Limited Term Municipal Bond Fd C	Purchase	01/25/2022	\$1,000,001 - \$5,000,000	n/a
633	Joint	<u>LLDYX</u>	Lord Abbett Inv Tr. Short Duration Income Fd CI	Purchase	01/25/2022	\$1,000,001 - \$5,000,000	n/a
634	Joint	<u>FISHX</u>	Fidelity Advisor Limited Term Municipal Income F	Purchase	01/25/2022	\$1,000,001 - \$5,000,000	n/a
635	Joint	<u>CBHIX</u>	Victory Market Neutral Income Fund - Class I	Purchase	02/04/2022	\$100,001 - \$250,000	n/a
636	Joint	<u>HYD</u>	VanEck Vectors High Yield Municipal Index ETF	Purchase	02/04/2022	\$100,001 - \$250,000	n/a
637	Joint	<u>PPSIX</u>	Principal Funds Inc. - Spectrum Preferred and C	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
638	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Purchase	02/04/2022	\$100,001 - \$250,000	n/a
639	Joint	<u>PFF</u>	iShares Preferred and Income Securities ETF	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
640	Joint	<u>VRP</u>	Invesco Variable Rate Preferred ETF	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
641	Joint	<u>JHBIX</u>	John Hancock Bond Fund Class I	Purchase	02/04/2022	\$15,001 - \$50,000	n/a
642	Joint	<u>PFFD</u>	Global X U.S. Preferred ETF	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
643	Joint	<u>DODIX</u>	Dodge & Cox Income Fund - Class I	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
644	Joint	<u>CPXIX</u>	Cohen & Steers Preferred Securities and Income F	Purchase	02/04/2022	\$50,001 - \$100,000	n/a
645	Joint	<u>ABNFX</u>	The Bond Fd of America Class F-2 Shares	Purchase	02/04/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
646	Joint	<u>AHMF</u>	American Fds American High-Income Municipal Bon	Purchase	02/04/2022	\$100,001 - \$250,000	n/a
647	Joint	<u>CBHIX</u>	Victory Market Neutral Income Fund - Class I	Purchase	03/02/2022	\$100,001 - \$250,000	n/a
648	Joint	<u>HYD</u>	VanEck Vectors High Yield Municipal Index ETF	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
649	Joint	<u>PPSIX</u>	Principal Funds Inc. - Spectrum Preferred and C	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
650	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
651	Joint	<u>PFF</u>	iShares Preferred and Income Securities ETF	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
652	Joint	<u>VRP</u>	Invesco Variable Rate Preferred ETF	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
653	Joint	<u>JHBIX</u>	John Hancock Bond Fund Class I	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
654	Joint	<u>PFFD</u>	Global X U.S. Preferred ETF	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
655	Joint	<u>CPXIX</u>	Cohen & Steers Preferred Securities and Income F	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
656	Joint	<u>ABNFX</u>	The Bond Fd of America Class F-2 Shares	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
657	Joint	<u>AHMF</u>	American Fds American High-Income Municipal Bon	Purchase	03/02/2022	\$100,001 - \$250,000	n/a
658	Joint	<u>DODIX</u>	Dodge & Cox Income Fund - Class I	Purchase	03/03/2022	\$15,001 - \$50,000	n/a
659	Joint	<u>CBHIX</u>	Victory Market Neutral Income Fund - Class I	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
660	Joint	<u>HYD</u>	VanEck ETF Trust VanEck High Yield Muni ETF	Purchase	03/25/2022	\$15,001 - \$50,000	n/a
661	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
662	Joint	<u>PFF</u>	iShares Preferred and Income Securities ETF	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
663	Joint	<u>VRP</u>	Invesco Variable Rate Preferred ETF	Purchase	03/25/2022	\$1,001 - \$15,000	n/a
664	Joint	<u>PFFD</u>	Global X U.S. Preferred ETF	Purchase	03/25/2022	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
665	Joint	<u>DODIX</u>	Dodge & Cox Income Fund	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
666	Joint	<u>AHMFEX</u>	American Fds American High-Income Municipal Bon	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
667	Joint	<u>VWIUX</u>	Vanguard Intermediate-Term Tax-Exempt Fd Admiral	Purchase	05/10/2022	\$50,001 - \$100,000	n/a
668	Joint	<u>FLTRX</u>	Nuveen Flagship Limited Term Municipal Bond Fd C	Purchase	05/10/2022	\$15,001 - \$50,000	n/a
669	Joint	<u>NHMRX</u>	Nuveen High Yield Municipal Bd Fd Class I	Purchase	05/10/2022	\$15,001 - \$50,000	n/a
670	Spouse	<u>ALB</u>	Albemarle Corp	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
671	Spouse	<u>AXP</u>	American Express Company	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
672	Spouse	<u>AQUA</u>	Evoqua Water Technologies Corp. Common Stock	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
673	Spouse	<u>HPQ</u>	HP Inc	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
674	Spouse	<u>INVH</u>	Invitation Homes Inc	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
675	Spouse	<u>NTR</u>	Nutrien Ltd	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
676	Spouse	<u>ORCL</u>	Oracle Corp	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
677	Spouse	<u>SITE</u>	SiteOne Landscape Supply, Inc. Common Stock	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
678	Spouse	<u>TTEK</u>	Tetra Tech Inc	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
679	Spouse	<u>VSTO</u>	Vista Outdoor Inc	Purchase	01/05/2022	\$1,001 - \$15,000	n/a
680	Spouse	--	30323G275 First Trust S&P Div Arst TGT	Purchase	01/05/2022	\$50,001 - \$100,000	n/a
681	Spouse	--	30324H470 First Trust Capital Strength OPP	Purchase	02/23/2022	\$50,001 - \$100,000	n/a
682	Spouse	<u>AIMC</u>	Altra Industrial Motion Corp. - Common Stock	Sale (Partial)	01/05/2022	\$1,001 - \$15,000	n/a

## Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2022	Joint	Mortgage	0	3.95% (15 years)	\$1,000,001 - \$5,000,000	Regent Bank Tulsa, OK	n/a

## Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

*Only required if you are a candidate or this is your first report:* Did any person or entity pay more than \$5,000 to you or for services provided by you? **No**

## Attachments & Comments

*No attachments added.*

*No comments added.*

# **EXHIBIT C**

# Financial Disclosures

## Annual Report for Calendar 2023

The Honorable John F Reed (Reed, John F.)

 Filed 05/15/2024 @ 1:29 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Other (IRA Distribution)	Wells Fargo Clearing Services, LLC St. Louis, MO	\$7,000.00	n/a

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>U.S. Senate Federal Credit Union</b> (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
2	<b>U.S. Senate Federal Credit Union</b> (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3	<b>McGuire Wealth Management IRA</b>	Retirement Plans IRA	Self			
3.1	<b>QQQ - Invesco QQQ Trust, Series 1</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.2	<b>XBI - SPDR Series Trust SPDR S&amp;P Biotech ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.3	<b>Wells Fargo Bank</b> (Charlestown, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.4	<b>XLI - Industrial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.5	<b>PSCH - Invesco S&amp;P SmallCap Health Care ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.6	<b>PSCT - Invesco S&amp;P SmallCap Information Technology ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.7	<b>IVV - iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.8	<b>IJR - iShares Core S&amp;P Small-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
3.9	<b><u>IHI</u> - iShares U.S. Medical Devices ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.10	<b><u>XRT</u> - SPDR S&amp;P Retail ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.11	<b><u>XLV</u> - Health Care Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.12	<b><u>XLK</u> - Technology Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.13	<b><u>SMH</u> - VanEck Vectors Semiconductor ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.14	<b><u>XLE</u> - SPDR Select Sector Fund - Energy Select Sector</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.15	<b><u>GNOM</u> - Global X Genomics &amp; Biotechnology ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.16	<b><u>BIL</u> - SPDR Bloomberg 1-3 Month T-Bill ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.17	<b><u>USFR</u> - WisdomTree Floating Rate Treasury Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.18	<b><u>HACK</u> - ETFMG Prime Cyber Security ETF</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.19	<b><u>GLD</u> - SPDR Gold Trust</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
3.20	<b><u>XSW</u> - S&amp;P Software &amp; Services ETF SPDR</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.21	<b><u>VAW</u> - Vanguard Materials ETF</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.22	<b><u>JTSXX</u> - JPMorgan 100% Treasury Securities Money Market FD INSTITL</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>FLRN</u>	Investment Growth Floating Rate ETF SPDR	Sale (Full)	05/03/2023	\$1,001 - \$15,000	n/a
2	Self	<u>GLD</u>	Goldon Resources Ltd	Purchase	05/03/2023	\$1,001 - \$15,000	n/a
3	Self	<u>HACK</u>	ETFMG Prime Cyber Security ETF	Purchase	05/03/2023	\$1,001 - \$15,000	n/a
4	Self	<u>FLOT</u>	iShares Floating Rate Bond ETF	Sale (Full)	05/03/2023	\$1,001 - \$15,000	n/a
5	Self	<u>XSW</u>	SPDR S&P Software & Services ETF	Purchase	10/04/2023	\$1,001 - \$15,000	n/a
6	Self	<u>VAW</u>	Vanguard Materials ETF	Purchase	10/04/2023	\$1,001 - \$15,000	n/a

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	2.75% (15 years)	\$50,001 - \$100,000	Navigant Credit Union Smithfield, RI	Residential mortgage
2	2013	Joint	Mortgage	0	3.625% (30 years)	\$250,001 - \$500,000	JPMorgan Chase Bank, N.A. Columbus, OH	Residential mortgage; Loan transferred from US Bank to JPMorgan Chase Bank, N.A.

## Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

*Only required if you are a candidate or this is your first report:* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*

# **EXHIBIT D**

# Financial Disclosures

## Periodic Transaction Report for 01/26/2024

The Honorable Markwayne Mullin (Mullin, Markwayne)

Filed 01/26/2024 @ 6:13 PM

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

 **Transactions** (43 transactions total) 20 Self 13 Joint 10 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
43	01/18/2024	Joint	--	JOHNSTON CNTY N C GO REF BDS 2010-A <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2024</i>	Municipal Security	Sale (Full)	\$50,001 - \$100,000	--
42	01/18/2024	Joint	--	CENTRAL WEBER UTAH SWR IMPT DIST SWR REV BDS 2023 <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2024</i>	Municipal Security	Sale (Full)	\$50,001 - \$100,000	--
41	01/18/2024	Joint	--	AUSTIN TEX PUB IMPT REF BDS 2023 <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2024</i>	Municipal Security	Sale (Full)	\$15,001 - \$50,000	--
40	01/18/2024	Joint	--	KAUKAUNA WIS ANTIC NTS 2023B <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2028</i>	Municipal Security	Sale (Full)	\$50,001 - \$100,000	--
39	01/03/2024	Joint	--	Canadian County Educational Facilities Authority <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2027</i>	Municipal Security	Purchase	\$100,001 - \$250,000	--
38	01/10/2024	Joint	--	OK ST Capitol Impt Auth ST Highway <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Municipal Security	Purchase	\$50,001 - \$100,000	--
37	01/04/2024	Spouse	<u>ZTS</u>	Zoetis Inc. Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
36	01/04/2024	Spouse	<u>SFM</u>	Sprouts Farmers Market, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
35	01/04/2024	Spouse	<u>OLLI</u>	Ollie's Bargain Outlet Holdings, Inc. - Common Sto	Stock	Purchase	\$1,001 - \$15,000	--
34	01/04/2024	Spouse	<u>GS</u>	Goldman Sachs Group, Inc. (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
33	01/04/2024	Spouse	<u>FRPT</u>	Freshpet, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	01/04/2024	Spouse	<u>ETN</u>	Eaton Corp	Stock	Purchase	\$1,001 - \$15,000	--
31	01/04/2024	Spouse	<u>ELF</u>	E.L.F. Beauty Inc	Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
30	01/04/2024	Spouse	<u>CYBR</u>	CyberArk Software Ltd. - Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
29	01/04/2024	Spouse	<u>BMI</u>	Badger Meter, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
28	01/04/2024	Spouse	<u>AXON</u>	Axon Enterprise Inc	Stock	Purchase	\$1,001 - \$15,000	--
27	01/04/2024	Self	<u>ZTS</u>	Zoetis Inc Cl A	Stock	Purchase	\$1,001 - \$15,000	--
26	01/04/2024	Self	<u>SFM</u>	Sprouts Farmers Market	Stock	Purchase	\$1,001 - \$15,000	--
25	01/04/2024	Self	<u>OLLI</u>	Ollie's Bargain Outlet Holdings, Inc. - Common Sto	Stock	Purchase	\$1,001 - \$15,000	--
24	01/04/2024	Self	<u>GS</u>	Goldman Sachs Group, Inc. (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
23	01/04/2024	Self	<u>FRPT</u>	Freshpet, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	01/04/2024	Self	<u>ETN</u>	Eaton Corp	Stock	Purchase	\$1,001 - \$15,000	--
21	01/04/2024	Self	<u>ELF</u>	E.L.F. Beauty Inc	Stock	Purchase	\$1,001 - \$15,000	--
20	01/04/2024	Self	<u>CYBR</u>	CyberArk Software Ltd. - Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
19	01/04/2024	Self	<u>BMI</u>	Badger Meter, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
18	01/04/2024	Self	<u>AXON</u>	Axon Enterprise Inc	Stock	Purchase	\$1,001 - \$15,000	--
17	01/02/2024	Joint	<u>ADBE</u>	Adobe Systems Inc	Stock	Purchase	\$15,001 - \$50,000	--
16	01/02/2024	Joint	<u>AMD</u>	Advanced Micro Devices, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
15	01/02/2024	Joint	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
14	01/02/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
13	01/02/2024	Joint	<u>META</u>	Meta Platforms Inc	Stock	Purchase	\$15,001 - \$50,000	--
12	01/02/2024	Joint	<u>LRCX</u>	Lam Research Corp	Stock	Purchase	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
11	01/02/2024	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
10	12/22/2023	Self	<u>SHOP</u>	Shopify Inc	Stock	Sale (Partial)	\$1,001 - \$15,000	--
9	12/22/2023	Self	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
8	12/22/2023	Self	<u>PODD</u>	Insulet Corp	Stock	Sale (Partial)	\$1,001 - \$15,000	--
7	12/22/2023	Self	<u>INST</u>	Instructure Holdings Inc	Stock	Sale (Partial)	\$1,001 - \$15,000	--
6	12/22/2023	Self	<u>HUBB</u>	Hubbell Inc Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
5	12/22/2023	Self	<u>FN</u>	Fabrinet	Stock	Sale (Partial)	\$1,001 - \$15,000	--
4	12/22/2023	Self	<u>FCFS</u>	FirstCash Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
3	12/22/2023	Self	<u>EXLS</u>	ExlService Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
2	12/22/2023	Self	<u>CTVA</u>	Corteva Inc	Stock	Sale (Partial)	\$1,001 - \$15,000	--
1	12/22/2023	Self	<u>CELH</u>	Celsius Holdings Inc	Stock	Sale (Partial)	\$1,001 - \$15,000	--